

**Client Relationship Summary of Your
Peterson Rogers Private Wealth Investment Advisory Account**

Introduction. Peterson Rogers Private Wealth, LLC is registered as an investment adviser with the Securities and Exchange Commission.] Brokerage and investment advisory services and fees are different from each other, and it is important for you to understand the differences. In this Summary, “we” or “our firm” refers to Peterson Rogers Private Wealth, LLC and “you” refers to our retail investor clients and potential clients. You can access free and simple tools to research our firm and other firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers and investing.

What investment services and advice can you provide me?

We provide investment management services. If you grant us discretionary authority, we may buy or sell an investment in your account without your prior approval. If you do not grant us discretionary authority, we will make recommendations to you, but you will need to approve any trades.

If you open an investment account with our firm, as part of our standard service we will regularly review your portfolio and monitor your investments on an ongoing basis to determine appropriate investments based on your stated goals and risk/return objectives. We do not limit the types of investments that we recommend. We currently do not have a minimum account size, but may decline to take on any client in our discretion.

Additional information is available in Items 4 and 7 of our Form ADV, Part 2A, available on the SEC’s Investment Adviser Public Disclosure website at <https://adviserinfo.sec.gov/>

Questions you can ask us

- ***Given my financial situation, should I choose an investment advisory service? Why or why not?***
- ***How will you choose investments to recommend to me?***
- ***What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?***

What fees will I pay?

Our fee schedules are negotiated on a client-by-client basis. Advisory fees are generally tiered up to 1.25% annually of assets under our management. The more assets you have under our management, the more you will pay us in fees and therefore we may have an incentive to encourage you to increase those assets.

Advisory fees are charged monthly in arrears based on the value of your assets on the last day of the month. You pay our fees whether or not transactions are made in your account. The fees paid to us do not vary based on the type of investments selected.

Some investments (e.g., mutual funds, ETFs, private funds) impose additional transactional, management and/or administrative fees that reduce the value of your investment over time. You may also pay fees to a custodian that will hold your assets. Additionally, you will typically pay transaction fees when we buy and sell an investment for your account. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Make sure you understand what fees and costs you

are paying. Additional information is available in Items 5 and 6 of our Form ADV, Part 2A, available by contacting us at (866) 847-9454 or admin@petersonrogers.com, or through the SEC's Investment Adviser Public Disclosure website at <https://adviserinfo.sec.gov/>

A question you can ask us

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. For example, the more assets there are in your advisory account, the more you will pay in fees, and we may therefore have an incentive to encourage you to increase the assets in your account.

Additional information about our conflicts of interest is available in Items 8 and 10-12 of our Form ADV, Part 2A, available by contacting Benjamin Rogers at 866-847-9454 or through the SEC's Investment Adviser Public Disclosure website at <https://adviserinfo.sec.gov/>.

A question you can ask us

- **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

We pay our financial professionals a salary and discretionary bonus based on individual performance. Our financial professionals are compensated on a portion of the total fees received by us and a base salary. They have an incentive to encourage you to increase your assets in your accounts, and to recommend that you purchase investments that result in additional compensation to them. **Do you or your financial professionals have legal or disciplinary history?** None of our financial professionals has a disciplinary history. You can visit <https://www.investor.gov/CRS> for free and simple search tools to research us and our financial professionals.

A question you can ask us

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Additional Information

Visit the SEC's Investment Adviser Public Disclosure website at <https://adviserinfo.sec.gov/> for more information about us. To request up-to-date information or a copy of this relationship summary please contact us at (866) 847-9454 or admin@petersonrogers.com.

A question you can ask us

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**