



Form ADV Part 3 (Form CRS): Relationship Summary

July 22, 2024

Item 1: Introduction

Alpha Dog Advisors (a/k/a Gea Sphere, LLC) is an investment adviser registered with the United States Securities and Exchange Commission. Investment advisory and brokerage services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2: Relationships and Services

What investment services and advice can you provide me?

Alpha Dog Advisors creates and manages model portfolios for its clients, other registered investment advisers and employer sponsors of retirement plans and Investment Exchanges. Alpha Dog Advisors provides portfolio management services where we manage money for clients who prefer an aggressive portfolio, a moderately aggressive portfolio, or a conservative portfolio. We also manage our client's money for growth and income purposes. Additionally, some of our clients may ask us, from time to time, for advice on how to allocate assets to their third-party retirement accounts. We do not have discretion over these third-party retirement accounts; however, we will provide asset allocation advice based on a larger portfolio and risk analysis to ensure our clients are receiving well-rounded investment advice for all their investment portfolios. We provide financial planning where we recommend products and services that help clients reduce their taxable income. We provide cash flow analysis for retiree clients to help them identify their cash flow and then compare such cash flow to their expenses. For estate planning services, we help clients identify and ensure they have the appropriate documentation needed to maximize their tax savings and protection planning.

Alpha Dog Advisors evaluates each client's overall investment experience, financial goals, targeted retirement date and tolerance for market risk. Based on this information, Alpha Dog Advisors selects certain model investment portfolios for each client. An account typically consists of, but is not limited to, stocks, mutual funds, real estate investment trusts ("REITs"), exchange traded funds ("ETFs"), inverse ETFs, bonds, and bond funds. We hedge portfolios by buying inverse ETFs for a short-term basis to reduce portfolio drawdowns during market corrections. We also reduce model portfolio drawdowns by using our proprietary stock selection process of purchasing equities in pairs of sometimes non-correlating equities.

For additional information, please see Form ADV, Part 2A.

Conversation Starter. Ask your financial professional.

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including licenses, education, and other qualifications? What do these qualifications mean?*

Item 3: Fees, Costs, Conflicts, and Standard of Care

What fees will I pay?

The annualized fee for Model Portfolio Management Services will be charged as a percentage of assets under management, according to the fee schedule listed below. The fee is prorated and is paid monthly as determined by us, in arrears, based upon the market value of the assets on the last day of the previous month as valued by the custodian. The fee for the initial month shall be calculated on a pro rata basis commencing on the day the assets are initially designated to us for management under our advisory agreement. No portion of the fee shall be based on capital gains or capital appreciation of the assets except as provided herein and provided for under the Investment Advisers Act of 1940, as amended (the "Advisers Act") and analogous state securities laws. No increase in the advisory fee shall be effective without prior written notification to you. The minimum account is typically



\$500,000 or more; however, Alpha Dog Advisors retains its right to accept clients with less funds on an individual basis. Client accounts are reviewed at least annually.

You will pay fees and costs whether you make or lose money on your investments. Our fees for Management Services are based upon a percentage of assets under management and generally range from .50% to 1.25% annually depending on the total quarterly ending gross value of the client's managed accounts. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. You may also pay fees to the custodian for trading and maintenance of the account. These fees vary by custodian, and the type and amount of investments in the account.

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- The more assets that are in your account the more you will pay in fees, which means that we may have an incentive to encourage you to increase the assets in your account.
- Alpha Dog Advisors may recommend an investment in Alpha Dog Fund to certain clients based on their financial situations and investment objectives. When this occurs, a potential conflict of interest exists since Alpha Dog Advisors receives management fees for being the adviser to the Alpha Dog Fund and a client's account assets. Alpha Dog Advisors addresses these conflicts through a variety of methods.

How do your financial professionals make money?

Our financial professionals are paid a salary and a discretionary bonus. Neither the salary nor bonus is based on or tied to the number of clients that have been referred to Alpha Dog Advisors or the types of investment products we recommend to clients. Representatives of Alpha Dog Advisors may also receive compensation from the insurance company for the sale of insurance products that is separate from the Management Fees discussed above.

For additional information, please see Form ADV, Part 2A.

Conversation Starter. Ask your financial professional.

- *Help me understand how these fees and costs might affect my investment? If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*
- *How might your conflicts of interest affect me, and how will you address them?*

Item 4: Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

Yes. Visit www.investor.gov/CRS, for a free and simple search tool to research our firm and our financial professionals.

Conversation Starter. Ask your financial professional.

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Item 5: Additional Information

Who is my primary contact person?

Your Alpha Dog Advisors financial professional will be your primary point of contact. However, you may also contact Alpha Dog Advisors' Chief Compliance Officer, Eduard Hamamjian at 401-351-4900 or eduard@GeaSphere.com.

Conversation Starter. Ask your financial professional.

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?