

Ares Wealth Management Solutions, LLC
Customer Relationship Summary ("Form CRS")
July 23, 2024

Ares Wealth Management Solutions, LLC ("AWMS," "we," "us," or "our") is a broker-dealer registered with the Securities and Exchange Commission ("SEC") and member of the Financial Industry Regulatory Authority, Inc. ("FINRA"). AWMS is a wholly-owned subsidiary of Ares Management LLC ("Ares Management" and, together with AWMS, "Ares").

Brokerage and investment advisory services and fees differ. It is important for you, a retail investor, to understand the differences. Free and simple tools to research firms and financial professionals are available at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We only offer brokerage services by marketing investment funds, including (1) privately offered funds; and (2) non-traded registered investment companies, which are managed by our affiliate, Ares Management ("Ares Funds"). We do not make recommendations to retail investors, and we do not offer services with respect to investments other than the Ares Funds. We act as an agent on behalf of the Ares Funds and market the Ares Funds. In this capacity we, among other things, conduct presentations on the Ares Funds and share marketing materials, offering documents, and subscription agreements, with third-party broker-dealers, investment advisers, and private banks in the U.S. as well as, to a limited extent, large institutional investors.

Our services are nondiscretionary, meaning that only you decide whether to buy or sell an interest in an Ares Fund. Because we do not make recommendations to retail investors, you should consult with your own financial and legal professionals if you need help deciding whether to invest in an Ares Fund. We do not offer a full range of investment products or brokerage services. If you are looking for non-Ares Funds and additional brokerage services, you will need to seek the services of another financial institution. We do not maintain customer accounts for, or maintain custody of the funds or securities of, investors in the Ares Funds. We therefore do not monitor accounts, nor do we have any requirements for minimum account size. However, some of the Ares Funds have minimum investment requirements, which are disclosed in the relevant Ares Fund's offering documents. **For more information about our services, please visit www.areswms.com.**

Conversation Starters: *Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

What fees will I pay?

We do not charge any fees for the limited services we provide. However, when you buy or sell interests in an Ares Fund, depending on the fund, share class, and amount of investment, you may pay sales charges to the third-party firm through which you purchased the interest(s). You will also bear a proportionate share of a fund's expenses, such as investment management and administrative fees that are paid to Ares, and distribution and/or servicing fees that will be paid to us. Sales charges and distribution and servicing fees differ depending on the fund and share class bought. Given these fees, we have an incentive for you to invest in an Ares Fund that pays us higher distribution and/or servicing fees and that pay higher investment management fees to Ares Management.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For additional information on fees and costs, please refer to the prospectus or other offering document(s) related to the Ares Fund you are considering, which can be found at www.areswms.com.

Conversation Starters: *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We do not provide recommendations to retail investors. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means.

Proprietary Products: We only offer Ares Funds, which are subject to significant fees and expenses borne by the investors in such funds. Ares Management earns these fees, which may include organization and offering expenses, investment management fees, distribution and/or servicing fees, development fees, and fees from other services related to managing the Ares Funds. Ares Management pays a portion of such fees to us for providing shareholder servicing and/or distribution related services. We have an incentive for you to invest more in the Ares Funds because our fee income will increase the more you invest.

Revenue Sharing: We receive fees from Ares Management for providing shareholder servicing and/or distribution related services to the Ares Funds. This causes us to have an incentive for you to invest in an Ares Fund that pays us higher distribution and/or servicing fees and that pay higher investment management fees to Ares Management.

Conversation Starters: *How might your conflicts of interest affect me, and how will you address them?*

For additional information on our conflicts of interest, please review the offering documents of the Ares Fund in which you are considering investing.

How do your financial professionals make money?

Our financial professionals receive compensation, which includes a salary and discretionary bonus, based on their success as wholesalers coordinating product sales by third party broker-dealers or recommended by investment advisers and other financial professionals. In addition, some financial professionals receive remuneration in the form of basis points on sales when they directly engage with our external distribution partners. Such compensation creates an incentive for the financial professionals to sell Ares Funds that pay us higher distribution and/or servicing fees and that pay higher investment management fees to Ares Management.

Do you or your financial professionals have legal or disciplinary history?

Yes. Ares Wealth Management Solutions, LLC does not have any disciplinary history, but some of our financial professionals do. Visit [Investor.gov/CRS](https://www.investor.gov/crs) for a free and simple search tool to research us and our financial professionals.

Conversation Starters: As a financial professional, do you have any disciplinary history? For what type of conduct?

If you would like any additional information about our services or an up-to-date copy of our Form CRS, please call 303-869-4600. You may also visit www.areswms.com for a copy of our Form CRS.

Conversation Starters: *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*



Ares Wealth Management Solutions, LLC Customer Relationship Summary

Summary of Changes

July 23, 2024

Our Customer Relationship Summary (Form CRS); dated as of January 24, 2024, has been updated to make technical changes consistent with the instructions of Form CRS and to clarify:

- The types of investment services that we offer.