

Lime Trading Corp.

Client Relationship Summary as of July 26, 2024

Introduction

Lime Trading Corp. ("LTC") is registered with the U.S. Securities and Exchange Commission ("**SEC**") as a broker-dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Securities Investor Protection Corporation ("SIPC").

Brokerage fees differ and it is important that you understand these differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Description of Services

Lime Trading Corp. is an online broker that provides a self-directed brokerage platform to customers. LTC offers you the ability to buy and sell investment products, including domestic equities, options, Futures, exchange traded funds (ETFs), and money market funds in a brokerage account. We do not recommend investments, provide investment advice, or solicit the purchase or sale of any security. We do not review the performance of your account and your trades should be based on your risk tolerance and investment objectives. Our employees are registered representatives for the purposes of providing customer service and trading support. You make the ultimate decision regarding the purchase or sale of investments. You must meet certain investment minimums to open a brokerage account, and, depending on the type of account you open (cash, margin, etc.), you will also have to meet regulatory and/or Firm maintenance requirements. For a list of account types available to retail customers, please see the firm's website at: [Lime Trading Account Types](#).

Conversation Starters. Ask your financial professional:

- *Given my financial situation, should I choose a brokerage service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications?*
- *What do these qualifications mean?*

What fees will I pay?

Description of Principal Fees and Costs

The fees you pay will depend on the type of brokerage services you choose. We will charge you a fee in the form of a commission for each unit of an investment you buy or sell. For example, if you buy or sell a stock, we will charge you a commission per share. The more transactions you make, the more shares you will trade, and the more commission fees you will pay us. As a result, we have an incentive for you to trade more frequently and in greater volume because we generally receive more fees when you do so. Please see the firm's website at: <https://lime.co/pricing/> for more information regarding fees.

Description of Other Fees and Costs

You may also pay regulatory, exchange and clearing firm fees that we pass through to our customers in addition to execution fees or commissions charged. Further, you also will be charged margin interest if you have a margin account and fees to borrow stock if you engage in short sales. You also will pay fees associated with back-office processing, such as check, wire and maintenance fees, custodial or administrative services, such as wire transfers, returned checks, retirement account closeouts, and express mail delivery.

Additional Information

We will charge you these fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. These fees are shown on your customer statement.

Please note that issuers of mutual funds, ETFs, and similar products typically charge their own separate fees and other expenses in addition to the fees that we charge you

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Conversation Starters. Ask your financial professional:

- *Help me understand how these fees and costs might affect my investments.*
- *If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

Standard of Conduct

We do not provide recommendations. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means.

Examples of Ways We Make Money and Conflicts of Interest

- We receive distribution payments from certain mutual funds for distributing their funds to you. We have an incentive for you to invest in third-party funds that pay us distribution fees over funds that do not pay us, or for you to invest in funds that pay us higher fees than those that pay us lower fees.
- We receive compensation for routing equity and options order flow to certain market participants.
- Third-Party Products: Lime Trading receives payments, credits, reductions in our costs and/or conference support from third-party product managers, sponsors, intermediaries, and platform providers (or their affiliates), including from our clearing brokers (ABN AMRO, Axos, Vision, and Wedbush) and third-party asset management platform providers.

We do not believe that any of our potential conflicts of interest, including those listed above, create a material conflict of interest with you or any of our customers.

Conversation Starters. Ask your financial professional:

- *How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money?

Our financial professionals are salaried employees and are not compensated based on factors, such as the amount of client assets they service, the time and complexity required to meet a client's needs, the product sold, or product sales commissions. The Firm also does not earn money from advisory services or recommendations of securities or investment strategies to customers, and these are not factors in employee compensation either.

Conversation Starters. Ask your financial professional:

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Do you or your financial professionals have legal or disciplinary history?

Yes. Visit www.investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Additional Information

- For additional information about our services or to request a copy our current client relationship summary, please visit or call:
 - <https://www.lime.co>
 - 1-855-274-4934 (toll free)

Conversation Starters. Ask your financial professional:

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*