

Oasis Wealth Planning Advisors, LLC
CLIENT RELATIONSHIP SUMMARY v. June 17, 2024

This is the Client Relationship Summary for Oasis Wealth Planning Advisors, LLC an SEC-Registered Investment Adviser. Brokerage and Investment Advisory services and fees differ, and it is important that you understand those differences.

Free and simple tools are available to you to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

The investment advisory services we provide are a comprehensive service that combines financial planning and portfolio management services, and standalone, limited-scope financial planning. We also provide tax preparation services as a part of our comprehensive services depending on the preference of the client and complexity of the work.

In our comprehensive service, we will continuously monitor your investments. If you hire us for standalone, limited-scope financial planning services, we do not monitor your investments.

For clients who choose our comprehensive service, we take discretionary authority in your accounts, which allows us to buy and sell investments in your account without asking you in advance. You can impose reasonable restrictions on the discretionary authority you provide. We do not place trades on behalf of standalone, limited-scope financial planning clients.

We do not have minimum account sizes or investment amounts; however, there is a minimum fee associated with our comprehensive service.

For more information, please review our disclosure brochure, which is available [here](#).

Ask us: Given my financial situation, should I choose an investment advisor service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

The fee for our comprehensive services is, in part, a fixed fee, for the planning services you receive, and, in part, a percentage of assets under our management, for the portfolio management services you receive. Fees are payable quarterly, in advance. You should be aware that the more assets under our management, the more you will pay in fees. For our standalone, limited-scope financial planning services, you will pay a fixed fee. The fixed fee for our standalone, limited-scope financial planning services is payable at the beginning of the engagement or, at our discretion, with one half due at the beginning of the engagement and the remainder payable upon completion. For services not covered under our other engagements, you will pay an hourly fee. You will also incur other fees including management fees for sub-advisers, brokerage fees and commissions, management fees for mutual funds and ETFs, custodian fees, retirement account annual fees, trade ticket charges, or banking fees in connection with an investment account and the securities in the account; we do not receive any of these fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more information, please review our disclosure brochure, which is available [here](#).

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Ask us: *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

While we work to minimize conflicts with clients simply setting a fee for our services creates a conflict; it incentivizes us to charge you more rather than less for our services.

Ask us: *How might your conflicts of interest affect me, and how will you address them?*

For more information, please review our disclosure brochure, which is available [here](#).

How do your financial professionals make money?

Our firm's only compensation comes from our advisory fees. Our employees receive salaries for the work they perform on your behalf. The firm pays employees hourly wages, salaries, and bonuses based on firm profitability. Additionally, some of our advisers receive bonuses for generating new business while others receive payment from Oasis' purchase of their firm. Persons with an ownership interest in the firm receive distributions. No one at the firm – other than as described – is compensated based upon the amount of client assets they service; the time and complexity required to meet a client's needs; the product sold (i.e., differential compensation); product sales commissions; or revenue the firm earns from the financial professional's advisory services or recommendations.

Do you or your financial professionals have legal or disciplinary history?

Yes. Investor.gov/CRS has a free and simple search tool that allows you to research your financial professionals.

Ask us: *As a financial professional, do you have any disciplinary history? For what type of conduct?*

You can find more information about our investment advisory services [here](#). **You can also contact us at (615) 933-3314 if you have any questions or request a copy of the relationship summary.**

Ask us: *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*