

Customer Relationship Summary

Helping you make an informed decision

Form CRS

Introduction	<p>3G Financial (“3G”) is registered as a broker-dealer with the Securities and Exchange Commission (“SEC”), the Financial Industry Regulatory Authority (“FINRA”) and the Securities Investor Protection Corporation (“SIPC”).</p> <p>Brokerage and investment advisory services and fees vary and it is important for retail investors to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>
Terms to know	<p>A broker-dealer is a firm that acts as an intermediary between the buyers and sellers of securities, for which the firm will typically receive a commission. From time to time, broker-dealers may purchase or sell securities in or out of their own account.</p>

What investment services and advice can you provide me?

3G provides brokerage services for EB-5 immigration and other real estate based private equity, and debt investments.

3G offers private placements designed to support the immigration processes under the EB-5 program. The private placements are focused on regional centers allowing investors the opportunity to invest a stated amount for a specific time period to receive permanent residence status in the US. 3G does not make specific security recommendations to retail investors, but they do provide qualified individuals access to EB-5 regional centers. 3G also offers investors other real estate private placement investment offerings. The private placements for which 3G raises capital will be focused on real estate development. This will include, but not be limited to, multi-family, hospitality, office, industrial, and infrastructure development. 3G will target high net worth individuals based in the US and abroad for both its traditional private fund raises and its EB-5 offerings. Traditional private placements will range from approximately \$5,000,000 to \$50,000,000. EB-5 raises will range from \$500,000 to \$5,000,000 for direct EB-5 projects, and from approximately \$15,000,000 to \$200,000,000 for EB-5 regional center investment offerings. The investments are long-term holdings, generally over 5 years. The offerings are limited to private placements for accredited investors. The minimum investment size is typically \$800,000 for an EB-5 investment in a “targeted employment area” or approximately \$200,000 for an investment in a traditional private fund.

Brokerage Services

3G primarily offers private placements designed to support the immigration processes under the EB-5 program, as well as real estate credit and equity strategies. 3G does not make recommendations to retail investors, however 3G does provide access to EB-5 regional center investment offerings for qualified individuals. In addition, 3G offers traditional private fund placements for accredited and qualified investors. 3G does not monitor your investments and does not offer monitoring

Investment Advisory Services

3G does not offer investment advisory services.

What fees will I pay?

3G sells units of limited liability companies managed by various affiliates doing business as Golden Gate Global. 3G charges an initial administrative fee that varies from project to project, which typically ranges from \$20,000 to \$80,000. 3G has a conflicts committee which meets periodically to either mitigate or disclose and manage potential conflicts that arise. 3G does not charge any transaction-based fees beyond the initial administrative fee.

Conversation Starter

Questions you might wish to ask when considering our services:

- Given my financial situation, should I choose a brokerage service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Other Fees and Costs

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

Standard of Conduct

3G **does not** provide recommendations. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means.

Examples of Ways We Make Money and Conflicts of Interest

The Firm utilizes proprietary products mentioned above, which are pooled investments in limited liability companies for the primary purpose of making qualifying EB-5 investments. 3G pays referral fees to foreign finders of EB-5 investors and other registered broker-dealers. These fees are disclosed in the offering documents

How do your financial professionals make money?

Registered Representatives of 3G are compensated by salaries and discretionary bonuses which are not tied directly to revenue. Such individuals receive no non-cash compensation from any third-party vendor. There are no incentive programs for recommending specific products or services by these entities.

Do you or your financial professionals have legal or disciplinary history?

No – Please refer to [BrokerCheck](#) and/or [Investor.gov/CRS](#) which provide free search tools to research the experience and background of firms and financial professionals.

Where can I find additional information?

For additional information about our brokerage services or to request a copy of the relationship summary, please contact us at:

Address: 101 Crawfords Corner Rd.
Suite 4116
Holmdel, NJ 07733

Phone: 212-220-5766

Email: admin@gggfinancial.com

Conversation Starter

Questions you might wish to ask when considering our services:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

Conversation Starter

Questions you might wish to ask when considering our services:

- How might your conflicts of interest affect me, and how will you address them?

Conversation Starter

Questions you might wish to ask when considering our services:

- As a financial professional, do you have any disciplinary history? For what type of conduct?
- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Whom can I talk to if I have concerns about how this person is treating me?