

Excomp Asset Management, LTD
FORM CRS- CUSTOMER RELATIONSHIP SUMMARY

May 23, 2024

<u>Introduction</u>	<p>Excomp Asset Management, LTD ("EAM") is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>
<u>Relationships and Services</u>	<p>What investment services and advice can you provide me? We offer investment advisory services to retail investors on a regular basis</p> <p>Monitoring Each client account is scheduled for formal review, not less than quarterly as part of our regular services</p> <p>Investment Authority We manage advisory accounts on a discretionary basis which means we buy and sell investments in our client's accounts without asking in advance.</p> <p>Limited Investment Offering For most client accounts, portfolios are comprised exclusively of mutual fund shares and exchange traded funds ("ETFs") shares.</p> <p>Account Minimums and Other Requirements We require a minimum account of \$250,000 for Investment Supervisory Services clients. Under certain circumstances, minimums may be negotiated.</p> <p>For additional information please see our Form ADV Part 2A brochure Items 4 and 7.</p> <p><i>Conversation Starters.</i> Ask your financial professional-</p> <ul style="list-style-type: none">• Given my financial situation, should I choose an investment advisory service? Why or why not?• How will you choose investments to recommend to me?• What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?
<u>Fees, Costs, Conflicts, and Standard of Conduct</u>	<p>What fees will I pay? Our investment advisory fees are based on the percentage of assets under management which are billed quarterly in advance. Your asset-based fee is based on the balance in your account on the last business day of the previous quarter. You will also pay a fee for, and in advance at the commencement of each fiscal quarter equal a \$50.00 non-refundable computer/administrative fee. Your fees are agreed upon when we commence a relationship with you.</p> <p>You are responsible for all third-party fees which include custodian fees, brokerage fees, ETF and mutual fund fees and transaction fees. Those fees are separate and distinct from the fees and expenses charged by our Firm.</p> <p>The more assets there are in your account, the more you will pay in fees, and we may therefore have an incentive to encourage you to increase the assets in your account.</p> <p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.</p> <p>For additional information, please see Form ADV Part 2A brochure Items 5 and 6.</p> <p><i>Conversation Starter.</i> Ask your financial professional-</p> <p>Help me understand how these fees and costs might affect my investments. If I give you \$250,000 to invest, how much will go to fees and costs, and how much will be invested for me?</p>

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<u>Conflicts and Standard of Conduct and How our Financial Professionals Make Money</u>	<p>What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?</p> <p><i>When we act as your investment adviser</i>, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide to you. Here are some examples to help you understand what this means.</p> <ul style="list-style-type: none">• We manage accounts for multiple clients, and we allocate our time based on each client's needs. Our firm earns more as we expand our client base and grow our assets under management. We seek to balance our staffing with the individualized needs of each client.• As a fiduciary, we endeavor to act in our clients' best interests, our recommendation that clients maintain their assets in accounts at Charles Schwab & Company, Inc. ("Schwab") may be based in part on the benefit we receive from certain products and services we receive from Schwab and not solely on the nature, cost or quality of custody and brokerage services, which creates a conflict of interest. <p><i>Conversation Starter.</i> Ask your financial professional-</p> <ul style="list-style-type: none">• How might your conflicts of interest affect me, and how will you address them? <p>For additional information, please see Form ADV Part 2A brochure Items 12 and 17.</p> <p>How do your financial professionals make money?</p> <p>EAM's financial professionals receive a salary and a bonus at our discretion. Compensation is based on the revenue we earn and a variety of factors, including the number, value and complexity of accounts under management, the performance of those accounts, and client satisfaction and retention.</p>
<u>Disciplinary History</u>	<p>Do you or your financial professionals have legal or disciplinary history?</p> <p>No</p> <p>Visit Investor.gov/ CRS for a free and simple search tool to research us and our financial professionals.</p> <p><i>Conversation Starter.</i> Ask your financial professional-</p> <ul style="list-style-type: none">• As a financial professional, do you have any disciplinary history? For what type of conduct?
<u>Additional Information</u>	<p><i>Additional information about our investment advisory services</i> can be found at https://adviserinfo.sec.gov/firm/summary/110434. If you have any questions about the contents of this brochure or would like to request a copy of this relationship summary, please contact us at 631-465-9670.</p> <p><i>Conversation Starter.</i> Ask your financial professional-</p> <ul style="list-style-type: none">• Who is my primary contact person?• Is he or she a representative of an investment-adviser or a broker- dealer?• Who can I talk to if I have concerns about how this person is treating me?