

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR,  
REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION  
FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT

Date filed  
(MM/DD/YY):  
07/29/25

OFFICIAL  
USE  
ONLY

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION

AMENDMENT

1. State the name of the applicant: Texas Stock Exchange LLC

2. Provide the applicant's primary street address (Do not use a P.O. Box):  
4550 Travis Street, Suite 650, Dallas, TX 75205

3. Provide the applicant's mailing address (if different):  
\_\_\_\_\_  
\_\_\_\_\_

4. Provide the applicant's business telephone and facsimile number:  
214-814-1196  
(Telephone) (Facsimile)

5. Provide the name, title, and telephone number of a contact employee:  
James H. Lee Chief Executive Officer 214-838-1980  
(Name) (Title) (Telephone Number)

6. Provide the name and address of counsel for the applicant:  
Jeff Brown  
4550 Travis Street, Suite 650  
Dallas, TX 75205

7. Provide the date applicant's fiscal year ends: December 31

8. Indicate legal status of applicant:  Corporation  Sole Proprietorship  Partnership  
 Limited Liability Company  Other (specify): \_\_\_\_\_

If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):

(a) Date (MM/DD/YY): 06/07/24 (b) State/Country of formation: Delaware/United States of America

(c) Statute under which applicant was organized: Delaware Limited Liability Company Act

**EXECUTION:** The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 07/29/25 Texas Stock Exchange LLC  
(MM/DD/YY) (Name of applicant)

By: [Signature] James H. Lee, Chief Executive Officer  
(Signature) (Printed Name and Title)

Subscribed and sworn before me this 29 day of July, 2025 by Shannon Brazell  
(Month) (Year) (Notary Public)

My Commission expires April 21, 2026 County of Dallas State of Texas

This page must always be completed in full with original, manual signature and notarization.  
Affix notary stamp or seal where applicable.

