



TriOptima AB

Application for exemption from registration as a clearing agency (Form CA-1)

EXHIBIT A

EXHIBIT A (REDACTED AND REVISED)

Exhibit Request:

List in Exhibit A any person who either directly or indirectly, through agreement or otherwise, may control or direct the management or policies of registrant. For each person listed, provide the full name and address and attach a copy of each written agreement or, if the agreements are unwritten, describe the agreement or arrangement through which such person exercises or may exercise such control or direction.

Response:

The attached Certificate of Registration (Exhibit A-1) contains the name, address and date of birth of each of the CEO and directors of the Board Directors of TriOptima AB, who are empowered, under the provisions of Swedish law relating to limited companies, to approve and adopt the policies of TriOptima AB and direct its management.

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