



TriOptima AB

Application for exemption from registration as a clearing agency (Form CA-1)

EXHIBIT C

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Attach as Exhibit C narrative and graphic descriptions of registrant's organizational structure. If clearing agency activities are conducted primarily by a division, subdivision, or other segregable entity within the registrant corporation or organization, identify the relationship of such entity to the registrant's overall organizational structure and limit the descriptions to the division, subdivision or other segregable entity which performs clearing agency activities.

Response:

Attached as Exhibit C-1 is an organisational structure chart for TriOptima.

The TriOptima services that could constitute clearing agency activities are limited to the post-trade risk reduction services triReduce and triBalance, which are organisationally located within the Optimisation Division within OSTTRA Group. The Optimisation Division is headed by Erik Petri who in turn reports directly to the TriOptima CEO. The organisation and management of the relevant activities are shown in **green** on the structure chart.

It can also be noted that the use of security-based swaps is further limited to only certain asset classes in the triReduce and triBalance services and thus represents a small proportion of the overall service offerings. Please see Exhibit J and the corresponding attachments for fuller service descriptions for triReduce and triBalance.