



TriOptima AB

Application for exemption from registration as a clearing agency (Form CA-1)

EXHIBIT B

EXHIBIT B (REDACTED)

Exhibit Request:

List in Exhibit B the registrant's corporate officers, trust officers, managers or other persons occupying a similar status or performing similar functions who supervise, or are directly responsible for the conduct of, registrant's clearing agency activities, indicating for each: (a) Name; (b) Title; (c) Area of responsibility; (d) A brief account of the business experience during the last five (5) years.

Response:

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Additional Response: Please find attached as Exhibit B-1A a narrative summary of the information requested for each of the four members of the Board of Directors of TriOptima AB - Guy Rowcliffe, Helena Persson, Joanna Davies, and John Stewart. One of the directors, (Guy Rowcliffe, is also the CEO). Exhibit B-1A also includes the information requested for the following three officers of TriOptima AB: Erik Petri, Head of the Optimisation Division within OSTTRA Group, Peter Lundgren, Head of triReduce Rates and Credit, and Christina Högegård, Head of triBalance (reports to Erik Petri).

All TriOptima services that could constitute clearing agency activities (i.e. triReduce and triBalance) are organisationally located within the Optimisation Division. This means that Erik Petri has day-to-day responsibility and management oversight of the operation of such activities and is accountable in this regard to the TriOptima CEO – and ultimately TriOptima's Board of Directors.