

Exhibit 4 – Application of Omnibus Memoranda to Cochran, Jarkesy, and Affected Matters

	Matter	Order Instituting Proceeding Date	Omnibus Memorandum Issued 5/22/17	Omnibus Memorandum Issued 11/30/17	Omnibus Memorandum Withdrawn by the Staff on 6/21/18 before Commission Vote	Omnibus Memorandum Issued 6/21/18	Omnibus Memorandum Issued 7/20/18	Omnibus Memorandum Issued 8/22/18	Omnibus Memorandum Issued 1/16/19	Omnibus Memorandum Issued 1/30/19
1	<u>David S. Hall, P.C. d/b/a The Hall Group CPAs, David S. Hall, CPA, Michelle L. Helterbran Cochran, CPA, and Susan A. Cisneros, Admin. Proc. 3-17228 (A.1)</u>	4/26/2016		✓	✓	✓	✓	✓	✓	✓
2	<u>John Thomas Capital Mgmt. Grp. LLC d/b/a Patriot28 LLC, and George R. Jarkesy Jr., Admin. Proc. 3-15255 (A.2)</u>	3/22/2013		✓	✓	✓	✓	✓	✓	✓
3	<u>Dominic O’Dierno, Admin Proc. 3-15123 (B.1)</u>	12/6/2012							✓	✓
4	<u>Mark Feathers, Admin. Proc. 3-15755 (B.2)</u>	2/18/2014		✓	✓	✓	✓	✓	✓	✓
5	<u>The Robare Group, Ltd., Mark L. Robare, and Jack L. Jones, Jr., Admin. Proc. 3-16047 (B.3)</u>	9/2/2014		✓	✓	✓	✓	✓	✓	✓
6	<u>Laurie Bebo and John Buono, CPA, Admin. Proc. 3-16293 (B.4)</u>	12/3/2014		✓	✓	✓	✓	✓	✓	✓
7	<u>Michael W. Crow, Alexander S. Clug, Aurum Mining, LLC, PanAm Terra, Inc., and The Corsair Group, Inc., Admin. Proc. 3-16318 (B.5)</u>	12/16/2014		✓	✓	✓	✓	✓	✓	✓
8	<u>Traci J. Anderson, CPA, Timothy W. Carnahan and CYIOS Corporation, Admin. Proc. 3-16386 (B.6)</u>	2/13/2015		✓	✓	✓	✓	✓	✓	✓
9	<u>Edward M. Daspin, a/k/a “Edward (Ed) Michael,” Luigi Agostini and Lawrence R. Lux, Admin. Proc. 3-16509 (B.7)</u>	4/23/2015		✓	✓	✓	✓	✓	✓	✓
10	<u>Charles R. Kokesh, Admin. Proc. 3-16517 (B.8)</u>	4/28/2015		✓	✓	✓	✓	✓	✓	✓
11	<u>Christopher M. Gibson, Admin. Proc. 3-17184 (B.9)</u>	3/29/2016		✓	✓	✓	✓	✓	✓	✓
12	<u>James A. Winkelmann, Sr., and Blue Ocean Portfolios LLC, Admin. Proc. 3-17253 (B.10)</u>	5/19/2016		✓	✓	✓	✓	✓	✓	✓
13	<u>Saving2Retire, LLC, and Marian P. Young, Admin. Proc. 3-17352 (B.11)</u>	7/19/2016		✓	✓	✓	✓	✓	✓	✓
14	<u>Digital Brand Media & Marketing Group, Inc. and Intellicell Biosciences, Inc., Admin. Proc. 3-17990 (B.12)</u>	5/16/2017		✓	✓	✓	✓	✓	✓	✓

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15	<i>American Locker Group, Inc., Intreorg Systems, Inc., and Pegasi Energy Resources Corp., Admin. Proc. 3-18733 (B.13)</i>	9/7/2018							✓	✓
16	<i>Royal B.Y. Investment Mgmt. LLC, Inc., Toda International Holdings Inc., Westpoint Energy, Inc., and 360 Global Investments (f/k/a 360 Global Wine Co.), Admin. Proc. 3-18746 (B.14)</i>	9/12/2018							✓	✓
17	<i>Joseph S. Amundsen, Michael T. Remus, and Michael Remus CPA, Admin. Proc. 3-18994 (B.15)</i> ¹	2/8/2019								
18	<i>Matthew R. Rossi and SJL Capital, LLC, Admin. Proc. 3-19145 (B.16)</i>	4/17/2019								
19	<i>ERHC Energy, Inc. and IDdriven, Inc., Admin. Proc. 3-19419 (B.17)</i>	9/5/2019								
20	<i>Genoil, Inc. and SAMEX Mining Corp., Admin. Proc. 3-19661 (B.18)</i>	1/15/2020								
21	<i>Barton W. Stuck, Admin. Proc. 3-19685 (B.19)</i>	1/31/2020								
22	<i>EliteSoft Global Inc. and Capital Access Point 1, Inc., Admin. Proc. 3-19868 (B.20)</i>	7/9/2020								
23	<i>MJ Biotech, Inc. (f/k/a Michael James Enterprises, Inc.), Admin. Proc. 3-20297 (B.21)</i>	5/11/2021								
24	<i>Spectrascience, Inc., Admin. Proc. 3-20308 (B.22)</i>	5/17/2021								
25	<i>UBI Blockchain Internet Ltd., Admin. Proc. 3-20309 (B.23)</i>	5/17/2021								
26	<i>Traqer Corp., Admin. Proc. 3-20312 (B.24)</i>	5/17/2021								
27	<i>Rystar Communications Ltd., Admin. Proc. 3-20344 (B.25)</i>	5/27/2021								

¹ Matters listed in rows 17-30 were instituted after the last Omnibus Memorandum was submitted to the Commission, so those matters are not affected by any of the Omnibus Memoranda.

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28	<i>Spongetech Delivery Systems, Inc.</i>, Admin. Proc. 3-20345 (B.26)	5/27/2021								
29	<i>Globesat Holding Corp.</i>, Admin. Proc. 3-20355 (B.27)	6/1/2021								
30	<i>Golden Goliath Resources Ltd.</i>, Admin Proc. 3-20356 (B.28)	6/1/2021								