

Compliance Matters

Wednesday
June 14
2023

2-3:15 PM ET

**No Advance Registration
Required**

**CLICK HERE
TO JOIN**

on June 14th

Join the SEC's Atlanta Regional Office, Division of Examinations, to discuss Compliance for Registered Investment Advisers!

- ACQUIRE information on building and maintaining a robust Compliance Program
- LEARN about the new Marketing Rule, Cybersecurity Issues, and Private Funds
- MEET ARO Examinations staff
- HEAR discussion of Common Examination Findings
- BONUS: Question and answer session on issues important to CCOs!

We hope you will [join us](#) for this exciting live "Virtual" event !
(this event will *NOT* be recorded).

Webinar password: 6132023 (from phones/video systems)



U.S. SECURITIES AND EXCHANGE COMMISSION