

Exhibit 3

This Exhibit contains electronic files embedded in this cover page for filing efficiency, as identified below. OCC has omitted the embedded file pursuant to 17 CFR 240.24b-2. OCC has separately filed and requested confidential treatment of the cover page containing the embedded files as protected from public disclosure by Exemptions 4 and 8 of the Freedom of Information Act (“FOIA”), 5 U.S.C. 552(b)(4), (b)(8), and 15 U.S.C. 78x(e) because the information they contain concerns (i) OCC’s trade secrets and commercial information not customarily released to the public and is, and always has been, treated as the private information of OCC, the release of which is likely to cause foreseeable harm to OCC’s commercial or financial interests; and (ii) the supervision of OCC, a financial institution regulated by the Commission. OCC believes the Form 19b-4 Information and Exhibit 1A provide a clear and adequate description of the relevant substance of the embedded files to facilitate meaningful public comment.

Embedded File: [Redacted Pursuant to Rule 24b-2]

- Exhibit 3A. June 15, 2026 OCC Responses to SEC Staff Requests for Information; 4 pages

Embedded File: [Redacted Pursuant to Rule 24b-2]

- Exhibit 3B. Materials from the December 3, 2025 Compensation and Performance Committee Meeting; 2 pages

Embedded File: [Redacted Pursuant to Rule 24b-2]

- Exhibit 3C. Data Reflecting the Clearing Fund Composition: January 2018 - May 2026; spreadsheet file