

**EXHIBIT 5**

**THE OPTIONS CLEARING CORPORATION  
SCHEDULE OF FEES – [SEPTEMBER] MARCH 201[5]6**

**CLEARING MEMBER**

CLEARING

Clearing Fees

Trades with contracts of:

1 – 500	\$ [.05].041
501 – 1000	\$ [.04].032
1001 – 2000	\$ [.03].024
Greater than 2000	\$ [55.00]46.00/trade

Exercise Fee – per line item  
on exercise notice \$ 1.00

**MEMBERSHIP**

New Clearing Member  
Qualification Fee \$ 4,000.00

New Products

Unless otherwise agreed to by OCC and the applicable  
exchange, from the first day of listing through the  
end of the following calendar month: \$ 0.00

Stock and Market Loan Program Transaction Fees  
Per transaction assessed against each  
lender and borrower \$ 1.00

Market Maker/Specialist Scratch and Linkage per side\*  
\$ 0.02

STAMPS  
Clearing Member Authorization Stamp \$ 23.00 per stamp

Minimum Monthly Clearing Fee \$ 200.00

\* A Market Maker/Specialist or Linkage transaction that includes more than 2,750 contracts will be charged a flat fee of \$55.00 per trade per side.

ANCILLARY SERVICES

**TIER I**

- ENCORE Access
- MyOCC Access
- Data Service – proprietary position and trade data  
(includes transmission to service bureau)
- Report Bundle
- Series File
- Special Settlement File
- Open Interest File
- Prices File
- Stock Loan File
- Theoretical Profit and Loss Values
- Leased line charges are additional  
\$ 1,500.00 per month

**TIER II**

- ENCORE Access
- MyOCC Access
- Data Service – proprietary position and trade data  
(includes transmission to service bureau)
- Report Bundle
- Leased line charges are additional  
\$ 1,000.00 per month

**TIER III**

- ENCORE Access
- MyOCC Access  
\$650.00 per month

Additional Clearing Member:

No Charge

**TIER IV** (Stock Loan Only)

- ENCORE Access
- MyOCC Access  
\$ 300.00 per month

LEASED LINE SERVICES

T1 line to a Midwest Destination	\$1,000.00 per month, per line
T1 line to an East Coast Destination	\$1,500.00 per month, per line
T1 line to a West Coast Destination	\$2,000.00 per month, per line

CLEARING MEMBER/NON-CLEARING MEMBERPUBLICATIONS/BROCHURES

Disclosure Documents	\$	.45
OCC/ICC By-Laws and Rules	\$	47.00

(Updates can be obtained on a subscription basis for \$47.00 per year.)

NON-CLEARING MEMBERSERIES INFORMATION

## Non-Clearing Member

Non-Distribution	\$1,750.00 per month
Distribution	\$3,000.00 per month
Real Time Data	\$250.00 per month (in addition to fees listed above)

PRICES INFORMATION

Non-Clearing Member	\$3,000.00 per month
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THEORETICAL PROFIT AND LOSS VALUES\*

Non-Clearing Member	\$1,000.00 per month
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**ESCROW BANKS**

**ESCROW PROGRAM FEES**

Escrow Bank Monthly Program Fee	\$200.00
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**ALL FEES ARE SUBJECT TO CHANGE**

For further information, contact Member Services at 1-800-621-6072.