

Additions underlined
Deletions [bracketed]

Rules of NYSE MKT LLC

Trading of Option Contracts

Rule 956NY. Record of Orders

(a) Every ATP Holder must maintain and preserve for the period specified under SEC Rule 17a-4, a record of every order and of any other instruction given or received for the purchase or sale of option contracts. Such record must show the terms and conditions (market order, limit order, etc.) of the order or instruction and of any modification or cancellation thereof, and in addition must include the elements required by the Rule 6800 Series:

- (1) CMTA Information and clearing ATP Holder;
- (2) Option symbol, expiration month, exercise price, and type of option (call or put);
- (3) Side of market (buy or sell) and order type (customer, firm, firm market maker, professional customer);
- (4) Quantity of option contracts;
- (5) Any limit price, stop price, or special conditions;
- (6) Opening or closing transaction;
- (7) Time in force;
- (8) Account origin code;
- (9) Solicited or unsolicited;
- (10) Order identification number;
- (11) Order entry date and time, or the date and time of any modification of the terms of the order or cancellation of the order;

(12) Order execution time and price;

(13) Identity of the executing broker and the other party to the transaction; and

(14) S]and such other information as may be required by the Exchange.

(b) No Change
