

Additions underlined
Deletions [bracketed]

NYSE MKT RULES

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TRADING OF OPTION CONTRACTS

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Section 900 NY. Rules Principally Applicable to Trading of Option Contracts

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Rule 975 NY. Nullification and Adjustment of Options Transactions including Obvious Errors

Unless otherwise stated, the provisions contained within this Rule are applicable to electronic transactions only.

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(c) *Obvious Errors.*

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(5) Reserved. [*Complex Order Obvious Errors*: Where one or more legs of a Complex Order is eligible to be adjusted or busted under section (c)(4) of this rule, the executed Complex Order will be handled in accordance with the following:

(A) If a Complex Order executes against another Complex Order in the Complex Order Book and one or more legs of the transaction is deemed eligible to be adjusted or busted, the entire trade (all legs) will be busted, unless both parties agree to adjust the transaction to a different price within thirty (30) minutes of being notified by the Exchange of the decision to bust.

(B) If a Complex Order executes against orders or quotes in the Consolidated Book, each leg will be reviewed and handled independently in accordance with the language of Rule 975 NY.]

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Commentary:

.01 - .04 No Change.

.05 Complex Orders:

(a) If a Complex Order executes against individual legs and at least one of the legs qualifies as an Obvious Error under paragraph (c)(1) or a Catastrophic Error under paragraph (d)(1), then the leg(s) that is an Obvious or Catastrophic Error will be adjusted in accordance with paragraphs (c)(4)(A) or (d)(3), respectively, regardless of whether one of the parties is a Customer. However, any Customer order subject to this paragraph (a) will be nullified if the adjustment would result in an execution price higher (for buy transactions) or lower (for sell transactions) than the Customer's limit price on the Complex Order or individual leg(s). If any leg of a Complex Order is nullified, the entire transaction is nullified.

(b) If a Complex Order executes against another Complex Order and at least one of the legs qualifies as an Obvious Error under paragraph (c)(1) or a Catastrophic Error under paragraph (d)(1), then the leg(s) that is an Obvious or Catastrophic Error will be adjusted or busted in accordance with paragraph (c)(4) or (d)(3), respectively, so long as either: (i) the width of the Complex NBBO for the Complex Order strategy just prior to the erroneous transaction was equal to or greater than the amount set forth in the wide quote table of paragraph (b)(3); or (ii) the net execution price of the Complex Order is higher (lower) than the offer (bid) of the Complex NBBO for the Complex Order strategy just prior to the erroneous transaction by an amount equal to at least the amount shown in the table in paragraph (c)(1). If any leg of a Complex Order is nullified, the entire transaction is nullified.

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