

Additions underscored
Deletions [bracketed]

**NYSE MKT Equities
Price List 2017**

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Last Updated: [February 15]March 29, 2017

Equity Transaction Fees and Credits for Listed Securities^{1*}

Transactions in Securities with a Per Share Price of \$1.00 or More

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**Fees and Credits [a]Applicable to Designated Market Makers on
Transactions in Securities with a Per Share Price of \$1.00 or more**

* * * * *

Monthly credit payable to a DMM, in addition to the current rate on transactions and prorated to the number of trading days in a month that a stock is assigned to a DMM, for each of that DMM's stocks whose CADV during the current month is less than 50,000 shares per day and for which the DMM has met its 10% quoting requirement in that month..... \$100.00

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**Fees and Credits Applicable to Designated Market Makers on
Transactions in Securities with a Per Share Price below \$1.00**

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Monthly credit payable to a DMM, in addition to the current rate on transactions and prorated to the number of trading days in a month that a stock is assigned to a DMM, for each of that DMM's stocks whose CADV during the current month is less than 50,000 shares per day and for which the DMM has met its 10% quoting requirement in that month..... \$100.00

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* No change is proposed to the text of the footnote 1 of the Price List