

Additions underscored
Deletions [bracketed]

NYSE AMEX OPTIONS FEE SCHEDULE *

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Effective as of December 1, 2016

Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

C. e-Specialist, DOMM and Specialist Monthly Rights Fees. Rights Fees will be allocated to Specialists, e-Specialists and DOMMs based on their prorated share of contract volume on the Exchange in each issue. This fee is in addition to the Market Maker Fee and will be billed on a per issue basis to the ATP Holder acting as Specialist, e-Specialist, or DOMM in the issue. Average National Daily Customer Contracts Per Issue is calculated based on public customer contracts traded using a rolling three month basis with a one month lag. Professional Customer orders will be treated as Customer orders for purposes of this calculation. Where the Specialist, the e-Specialist, or DOMMs transact zero volume in a month, the Exchange splits the Rights Fee equally among the Specialist and e-Specialist, such that each Specialist and e-Specialist participant is liable for 50% of the Rights Fee. In the event that there is only a Specialist or e-Specialist and there are no DOMM volumes, then that sole Specialist or e-Specialist incurs 100% of the Rights Fee applicable to the option issue. In calculating the Rights Fee, each Mini Option contract traded will count the same as one Standard Option contract that has traded. The Rights Fees are shown in the table below.

Average National Daily Customer Contracts Per Issue	Monthly Base Rate Per Issue
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0 to 200 ¹	[\$250] <u>\$50</u>
201 to 2,000	[\$75] <u>\$60</u>
2,001 to 5,000	[\$200] <u>\$150</u>
5,001 to 15,000	\$375
15,001 to 100,000	[\$750] <u>\$1,250</u>
Over 100,000	[\$1,500] <u>\$2,000</u>

¹. This tier only applies to options listed on or after June 1, 2012. Options listed before June 1, 2012 are “grandfathered” and as such subject to a Monthly Base Rate Per Issue of \$75 if they fall into the 0 to 200 contract volume tier. The list of “grandfathered” options is available on the Exchange’s website.

Rights Fee Discounts: Specialists, e-Specialists, and DOMM may be eligible for one of two discounts, the greater of which will be applied to their monthly Rights Fee (if both discounts would apply). Any Specialist, e-Specialist, or DOMM that participates in the Prepayment Program (outlined in Section I.D.) will be eligible for a 20% discount to their Rights Fee. Alternatively, any Specialist, e-Specialist, or DOMM that achieves one of the Tiers in the ACE Program (outlined in Section I.E.) will be eligible for a discount on their Rights Fees, as set forth below.

<u>Rights Fee Discount</u>	
<u>ACE Tier</u>	<u>Discount on Rights Fees</u>
<u>Base</u>	<u>0%</u>
<u>1</u>	<u>0%</u>
<u>2</u>	<u>0%</u>
<u>3</u>	<u>20%</u>
<u>4</u>	<u>30%</u>
<u>5</u>	<u>40%</u>
