

EXHIBIT 5Additions underscored.

Deletions [bracketed]

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Effective as of January [4]13, 2016

Section I. Options Transaction Fees and Credits

A. Rates for Standard Options transactions. The following transaction fees apply to executions in Standard Option contracts.

Participant	Penny/Non-Penny	Rate Per Contract For Electronic Transactions	Marketing Charges Per Contract for Electronic Transactions³	Rate Per Contract Manual Transactions
Broker-Dealer ¹	Penny	\$[0.44] <u>0.50</u>	N/A	\$0.25
	Non-Penny	\$[0.58] <u>0.75</u>	N/A	\$0.25
Customer	Penny	\$0.00	N/A	\$0.00
	Non-Penny	\$0.00	N/A	\$0.00
DOMM ^{1,2,3}	Penny	\$[0.23] <u>0.25</u>	\$0.25	N/A
	Non-Penny	\$[0.23] <u>0.25</u>	\$0.65	N/A
e-Specialist ^{1,2,3}	Penny	\$[0.23] <u>0.25</u>	\$0.25	\$0.13
	Non-Penny	\$[0.23] <u>0.25</u>	\$0.65	\$0.13

Firm ^{1,4}	Penny	\$[0.34] <u>0.42</u>	N/A	\$0.25
	Non-Penny	\$[0.58] <u>0.75</u>	N/A	\$0.25
Firm Facilitation ¹	Penny	N/A	N/A	\$0.00
	Non-Penny	N/A	N/A	\$0.00
NYSE Amex Options Market Maker ^{1,2,3}	Penny	\$[0.23] <u>0.25</u>	\$0.25	\$0.20
	Non-Penny	\$[0.23] <u>0.25</u>	\$0.65	\$0.20
Non-NYSE Amex Options Market Maker ^{1,2}	Penny	\$[0.44] <u>0.50</u>	N/A	\$0.25
	Non-Penny	\$[0.58] <u>0.75</u>	N/A	\$0.25
Professional Customer ¹	Penny	\$[0.44] <u>0.50</u>	N/A	\$0.25
	Non-Penny	\$[0.58] <u>0.75</u>	N/A	\$0.25
Specialist ^{1,2,3}	Penny	\$[0.23] <u>0.25</u>	\$0.25	\$0.13
	Non-Penny	\$[0.23] <u>0.25</u>	\$0.65	\$0.13

1. Royalty Fees described in Section I.K., may also apply.
2. NYSE Amex Options Market Makers may qualify for lower rates for Electronic transactions pursuant to the Market Maker Sliding Scale in section I. C.
3. NYSE Amex Options Market Makers who are counterparties to an Electronic trade with a Customer are liable for Marketing Charges. The pool of monies resulting from the collection of Marketing Charges on Electronic non-Directed Orders will be controlled by the Specialist or the e-Specialist with superior volume performance over the previous quarter, unless otherwise designated by the ATP Holder that submits an Electronic non-Directed Order as described below, for distribution by the Exchange at the direction of such Specialist or e-Specialist to eligible payment accepting firms. An ATP Holder that submits an Electronic non-Directed Order to the Exchange may designate an NYSE Amex Options Market Maker to control to pool of monies resulting from the collection of Marketing Charges, which shall be distributed by the Exchange at the direction of such NYSE Amex Options Market Maker to payment accepting firms.
The pool of monies resulting from collection of Market Charges on Electronic Directed Orders will be controlled by the NYSE Amex Options Market Maker to which the order was directed and distributed by the Exchange at the direction of such NYSE Amex Options Market Maker to payment accepting firms.
4. Firms are subject to a Monthly Firm Fee Cap of \$100,000 for fees associated with Manual transactions as more fully described below in Section I. I.

C. NYSE Amex Options Market Maker Sliding Scale – Electronic. NYSE Amex Options Market Makers are eligible for reduced per contract rates for Electronic transactions in Standard Options as shown in the table below. The rates shown are applicable to monthly volume within a given tier such that the lower per contract rate applies to volume within that higher tier. In calculating Market Maker Electronic monthly volumes, the Exchange will exclude any volumes attributable to Mini Options, QCC trades, CUBE Auctions, or Strategy Execution Fee Caps as these transactions are subject to separate pricing described in Sections I.B., I.F., I.G. and I.J., respectively.

Tier	Market Maker Electronic Monthly Volume as a % of Industry Customer Equity and Exchange Traded Fund (“ETF”) Option Volume	Rate per Contract	Rate per Contract if Monthly Volume from Posted Volume is more than .85% of Total Industry Customer Equity and ETF Option Volume or for any NYSE Amex Market Maker participating in a Prepayment Program pursuant to Section I.D.
1	0.00% to 0.10%	\$[0.23] <u>0.25</u>	\$0.20
2	>0.10% to 0.60%	\$[0.20] <u>0.22</u>	\$0.17
3	>0.60% to 1.25%	\$[0.10] <u>0.12</u>	\$0.07
4	>1.25% to 1.40%	\$[0.08] <u>0.10</u>	\$0.05
5	>1.40% to 1.75%	\$[0.05] <u>0.07</u>	\$0.02
6	>1.75% [to 2.00%]	\$[0.03] <u>0.05</u>	\$0.00
[7	>2.00%	\$0.02	(\$0.01)]

D. Prepayment Program. Any NYSE Amex Options Market Maker is eligible for the rates described in the Market Maker Sliding Scale in Section I. C. A NYSE Amex Options Market Maker may prepay a portion of the fees it incurs under Section I.C., I.G. and III.A. In exchange for prepayment of a portion of their Section I.C. , I.G., and III. A. fees, the NYSE Amex Options Market Maker qualifies for reduced fees under Section I.C and qualifies its Affiliated OFP to earn enhanced credits under Section I.E. NYSE Amex Options Market Makers can commit to either a 1-year or 3- year term (“1 Year Prepayment Program” or “3 Year Prepayment Program”). The choice of a 1 Year Prepayment Program or 3 Year

Prepayment Program impacts the enhanced credits for which an Affiliated OFF of a NYSE Amex Options Market Maker is eligible for under Section I.E.

The 1 Year Prepayment Program amount is \$4 million, payable by January 30, 2015. The 3 Year Prepayment Program amount is \$9 million, payable in 3 equal, annual installments of \$3 million, with the first payment due by January 30, 2015, the second payment due by January 29, 2016 and the final payment due by January 31, 2017. A NYSE Amex Options Market Maker that participates in the 1 Year Prepayment Program will receive a credit of \$4 million toward fees it incurs under Section I.C., I.G., and III.A. A NYSE Amex Options Market Maker that participates in the 3 Year Prepayment Program will receive a credit of \$3 million toward fees it incurs under Section I.C., I.G., and III.A. for each of the 3 years. Once the prepayment credit has been exhausted, the Exchange will invoice the NYSE Amex Options Market Maker at the applicable rates under Section I.C., I.G., and III.A. In the event that a NYSE Amex Options Market Maker does not conduct sufficient activity to exhaust the entirety of their prepayment credit within the calendar year, there will be no refunds issued for any unused portion of their prepayment credit.

To participate in the 1 Year or 3 Year Prepayment Program, interested NYSE Amex Options Market Makers must notify the Exchange in writing no later than January 15, 2015, by emailing optionsbilling@nyse.com, indicating to which prepayment term they are committing. The 3 Year Prepayment Program will not be available after January 15, 2015, however, NYSE Amex Options Market Maker firms may opt into the 1 Year Prepayment Program for 2016 or 2017 by sending an email to the Exchange by the last business day in December of either 2015 or 2016, provided the Exchange continues to offer the 1 Year Prepayment Program at that time. The email to enroll in the Prepayment Program must originate from an officer of the NYSE Amex Options Market Maker firm and, except as provided for below, represents a binding commitment for the 1 or 3 year term to which the NYSE Amex Options Market Making firm commits, requiring payment according to the schedule described above.

Early termination, or “opt out” of the 3 Year Prepayment Program, thereby relieving a NYSE Market Maker of any remaining payment obligations, is permitted if:

1. NYSE Amex Options equity and ETF options market share over any consecutive 3-month period during 2015 falls below 7.5% of total equity options and ETF options volume; or

2. . NYSE Amex Options equity and ETF options market share over any consecutive 3-month period during 2016 falls below 7.0% of total equity options and ETF options volume; or
3. the Exchange reduces the transaction fees in Tiers 1 through 6 in Section I.C. by 70% or more compared to the rates as of January 2, 2015; or
4. the Exchange reduces each and every fee in Section I.G. charged to NYSE Amex Options Market Makers by 70% or more compared to the rates as of January 2, 2015; or
5. as of January 4, 2016 or January 3, 2017 there are fewer than 4 participants in the 1 Year and 3 Year Prepayment Programs combined.

To opt out, an NYSE Amex Options Market Making firm must send an email to optionsbilling@nyse.com requesting to opt out. The opt out request must be submitted by 4:00 p.m. ET at least five business days preceding the date(s) on which the payment is due for any year(s) in which the NYSE Amex Options Market Maker wishes to opt out. Specifically, to opt out for 2016, the request must be submitted by 4:00 p.m. ET on January 22, 2016 and to opt out for 2017, the request must be submitted by 4:00pm ET on January 24, 2017. Anytime a NYSE Amex Options Market Making firm opts out, they will forfeit the ability to qualify for reduced fees under Section I.C and for an OFP Affiliate to earn the enhanced credit(s) under the ACE Program in Section I.E. of this Fee Schedule for the year for which they opted out, and any subsequent year(s). Once opted out of the 3 Year Prepayment Program, a Market Making firm cannot opt back into the 3 year Prepayment Program, but may opt into the 1 Year Prepayment Program by the last business day of December of 2015 or 2016.

E. Amex Customer Engagement (“ACE”) Program – Standard Options. The per contract credits in the table below apply to Electronic transactions in Standard Options. The credits are payable to the OFP that submits, as agent, orders to the Exchange, and is payable only on Customer volume.

As shown in the table below, the ACE Program offers the following two methods OFPs to receive credits:

1. By calculating, on a monthly basis, the average daily Customer contract volume an OFP executes Electronically on the Exchange as a percentage of total average daily industry Customer equity and ETF options volume;
OR

2. By calculating, on a monthly basis, the average daily contract volume an OFP executes Electronically in all participant types (*i.e.*, Customer, Firm, Broker-Dealer, NYSE Amex Options Market Maker, Non-NYSE Amex Options Market Maker, and Professional Customer) on the Exchange, as a percentage of total average daily industry Customer equity and ETF option volume, with the further requirement that a specified percentage of the minimum volume required to qualify for the Tier must be Customer volume.

In calculating an OFP's Electronic volume, the Exchange will exclude volume resulting from Mini Options and QCC trades, as these transactions are subject to separate fees and/or credits. Also excluded from an OFP's Electronic volume calculation is any volume attributable to orders routed to another exchange in connection with the Options Order Protection and Locked/Crossed Market Plan referenced in Rule 991NY. Any volume excluded from an OFP's Electronic volume calculation is ineligible for credits shown in the table below.

Volume resulting from CUBE Auction executions will be included in an OFP's Electronic volume calculation for purposes of the ACE Program, but is ineligible for credits shown in the table below because there are separate credits paid for certain CUBE Auction volumes in Section I. G.

In calculating an OFP's Electronic volume, the Exchange will include the activity of Affiliates of the OFP, such as when an OFP has an Affiliated NYSE Amex Options Market Making firm. An OFP must email the Exchange at optionsbilling@nyse.com and provide the Exchange with a list of its Affiliates in order for those Affiliates' activity to be included with the OFP's activity in calculating such OFP's Electronic volume.

Under the ACE Program, an OFP will earn the highest per contract credit for which it qualifies on all eligible Customer volume, retroactive to the first contract traded in a month. In the event that an OFP is eligible for credits under both calculation methods, the OFP will be paid the highest per contract credit for all the OFP's eligible volume.

The credits shown under the "1 Year Enhanced Customer Volume Credits" and the "3 Year Enhanced Customer Volume Credits" are only available to those OFPs who have an Affiliated NYSE Amex Options Market Making firm that has committed to either the 1 Year Prepayment Program or the 3 Year Prepayment Program, respectively, as described in Section I.D. As noted in Section I.D., if a NYSE Amex Options Market Making firm that has committed to the 3 Year Prepayment Program opts out, the 3 Year Enhanced Customer Volume Credits will no longer be payable for any remaining

year(s) of the 3 Year Prepayment Program. For example, opting out before the 2nd payment is due on January 15, 2016 means that the OFP Affiliated with that NYSE Amex Options Market Maker will be ineligible to earn the 3 Year Enhanced Customer Volume Credits shown in the table below for their activity during any part of calendar years 2016 or 2017. If, however, the same firm were to subsequently opt into a 1 Year Prepayment Program, their OFP Affiliate would be eligible to earn the 1 Year Enhanced Customer Volume Credits for the calendar year in which the firm opted in.

	ACE Program – Standard Options		Credits Payable On Customer Volume Only				
Tier	Customer Electronic ADV as a % of Industry Customer Equity and ETF Options ADV	OR	Total Electronic ADV (of which 20% or greater of the minimum qualifying volume for each Tier must be Customer) as a % of Industry Customer Equity and ETF Options ADV	Customer Volume Credits	1 Year Enhanced Customer Volume Credits	3 Year Enhanced Customer Volume Credits	
1	0.00% to 0.60%			N/A	\$0.00	\$0.00	\$0.00
2	> 0.60% to 0.80% <u>or</u> <u>≥ 0.35% over October 2015 volumes</u>			N/A	[((\$0.13)] (\$0.14)	(\$0.15)	(\$0.16)
3	>0.80% to 1.25%			1.50% to 2.50% of which 20% or greater of 1.50% must be Customer	(\$0.14)	(\$0.16)	(\$0.18)
4	> 1.25 to 1.75%			> 2.50% to 3.50% of which 20% or greater of 2.50% must be Customer	(\$0.17)	(\$0.19)	(\$0.21)
5	>1.75%			>3.50% of which 20% or greater of 3.5% must be Customer	(\$0.19)	(\$0.21)	(\$0.23)
