

Additions underscored  
Deletions [bracketed]

**NYSE MKT Rules**

\* \* \* \* \*

**Rule 132 - Equities. Comparison and Settlement of Transactions Through A Fully-Interfaced or Qualified Clearing Agency**

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**• • • *Supplementary Material:***

**Definitions**

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**.30** Regardless of whether or not a Fully-Interfaced or Qualified Clearing Agency is being used for the comparison and/or settlement of a round-lot regular way contract for the purchase or sale of a security entered into on the Exchange, each clearing member organization that is a party to such contract shall submit to a Fully-Interfaced or Qualified Clearing Agency, as defined above, in such form and within such time periods as may be prescribed by the Clearing Agency, or the Exchange, as appropriate, each of the following trade data elements:

\* \* \* \* \*

**(9)** [Whether the account for which the order was executed was that of a member or member organization or of a non-member or non-member organization]The capacity in which the member organization executed the transaction (e.g., agency, principal, or riskless principal);

\* \* \* \* \*