

Additions underscored.

Deletions [bracketed]

## NYSE AMEX OPTIONS FEE SCHEDULE\*

\*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Effective as of August [3]6, 2015

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### Section I. Options Transaction Fees and Credits

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**I. Firm Monthly Fee Cap.** The Monthly Firm Fee Cap for Manual transactions will aggregate the fees associated with Firm Manual transactions and cap them at \$100,000 per month per Firm. Once a Firm has reached the Firm Monthly Fee Cap, an incremental service fee of \$0.01 per contract for Firm Manual transactions will apply, except for the execution of a QCC order, in which case there is no incremental service fee. Any fee or volume associated with a Strategy Execution described in Section I. J., (e.g., reversal and conversion, box spread, short stock interest spread, merger spread and jelly roll) will not be counted toward the \$100,000 cap. Royalty Fees will continue to be charged at the rates described in Section I. K., and do not count toward the \$100,000 fee cap. Firm Facilitation Manual trades (including for Mini Options) will continue to be executed at the rate of \$0.00 per contract regardless of whether a Firm has reached the \$100,000 cap or not. Mini Option contracts are subject to separate pricing and are not eligible for inclusion in the \$100,000 per month fee cap described above. The Monthly Firm Fee Cap will decrease as set forth in the table below if Firms achieve the tier level set forth in the ACE Program.

<u>ACE Tier</u>	<u>Firm Fee Cap</u>
<u>1</u>	<u>\$100,000</u>
<u>2</u>	<u>\$85,000</u>
<u>3</u>	<u>\$75,000</u>
<u>4</u>	<u>\$70,000</u>
<u>5</u>	<u>\$65,000</u>

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