

Additions underlined.  
Deletions [bracketed].

### NYSE AMEX OPTIONS FEE SCHEDULE\*

\*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Effective as of [January 14]February 2, 2015

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### Section I. Options Transaction Fees and Credits

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**E. Amex Customer Engagement (“ACE”) Program – Standard Options.** The per contract credits in the table below apply to Electronic transactions in Standard Options. The credits are payable to the OFP that submits, as agent, orders to the Exchange, and is payable only on Customer volume.

As shown in the table below, the ACE Program offers the following two methods OFPs to receive credits:

1. By calculating, on a monthly basis, the average daily Customer contract volume an OFP executes Electronically on the Exchange as a percentage of total average daily industry Customer equity and ETF options volume;  
OR
2. By calculating, on a monthly basis, the average daily contract volume an OFP executes Electronically in all participant types (*i.e.*, Customer, Firm, Broker-Dealer, NYSE Amex Options Market Maker, Non-NYSE Amex Options Market Maker, and Professional Customer) on the Exchange, as a percentage of total average daily industry Customer equity and ETF option volume, [of which at least 20% must be Customer volume executed Electronically]with the further requirement that a specified percentage of the minimum volume required to qualify for the Tier must be Customer volume.

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	ACE Program – Standard Options			Credits Payable On Customer Volume Only		
Tier	Customer Electronic ADV as a % of Industry Customer Equity and ETF Options ADV	OR	Total Electronic ADV (of which 20% or greater <u>of the minimum qualifying volume for each Tier</u> must be Customer) as a % of Industry Customer Equity and ETF Options ADV	Customer Volume Credits	1 Year Enhanced Customer Volume Credits	3 Year Enhanced Customer Volume Credits
1	0.00% to 0.75%		N/A	\$0.00	\$0.00	\$0.00
2	> 0.75% to 1.00%		N/A	(\$0.13)	(\$0.13)	(\$0.13)
3	>1.00% to 2.00%		1.50% to 3.50% <u>of which 20% or greater of 1.50% must be Customer</u>	(\$0.14)	(\$0.16)	(\$0.18)
4	>2.00%		>3.50% <u>of which 20% or greater of 3.5% must be Customer</u>	(\$0.14)	(\$0.16)	(\$0.20)

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