

Additions underlined.
Deletions [bracketed].

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Last Updated: [February 3]June 2, 2014

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NYSE AMEX OPTIONS: TRADE RELATED REBATES OR SUBSIDIES FOR STANDARD OPTIONS

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OFP Electronic ADV Tiers¹⁷

Rebate Per Contract For
Certain Electronic Equity
and ETF Option Volume
(excludes volume from
QCC Orders, Strategy
Executions, Complex
Orders and orders routed
away in connection with
the Options Order
Protection and
Locked/Crossed Market
Plan referenced in Rule
991NY)

TIER 1A - Electronic Customer volume of at least 2.0% of Total Industry
Customer equity and ETF option ADV – rebate paid on Customer
electronic contract volumes in excess of 200,000 ADV only

\$0.06

OR

TIER 1 B - Electronic volume of at least ~~.7~~45% of Total Industry
Customer equity and ETF option ADV where 40% of the electronic
volume consists of Non-NYSE Amex Options Market Maker, Firm,
Professional Customer and/or Broker Dealer – rebate paid on all Customer
electronic contract volumes

\$0.06

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