

Additions underlined.
 Deletions [bracketed].

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Last Updated: January [2]8, 2014

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**NYSE AMEX OPTIONS: TRADE-RELATED CHARGES FOR STANDARD
 OPTIONS**

TRANSACTION FEE/CREDIT - PER CONTRACT

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Firm Proprietary Electronic ADV Tiers ⁶	Per Contract Rate For Electronic Firm Transactions (retroactive to the first contract traded during the month)
Less than .21% of Total Industry Customer equity and ETF option ADV	\$. <u>[25]32</u>
.21% to .32% of Total Industry Customer equity and ETF option ADV	\$.20
Greater than .32% of Total Industry Customer equity and ETF option ADV	\$.17

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⁶ Firm Proprietary manual trades are those trades executed in open outcry on behalf of an ATP holder that clear in the firm range. The firm facilitation rate applies to trades that clear in the firm range (clearance account "F") and customer on the contra (clearance account "C") with the same clearing firm symbol on both sides of the trade. Fees for Firm Proprietary manual trades will be aggregated and capped at \$100,000 per month for member firms plus an incremental service fee of \$.01 per contract for all Firm Proprietary manual trading volume in excess of the cap, except for the execution of a QCC order against a non-Customer, in which case the incremental service fee is \$.05, and the execution of a QCC order against a Customer, in which case the incremental service fee is \$.10. Any fee or volume associated with a Strategy Execution (reversal and conversion, box spread, short stock interest spread, merger spread and jelly roll) will not

be counted toward the \$100,000 cap. QCC volume will not count toward a Firm's proprietary electronic ADV tiers. Royalty fees will continue to be charged at the rate provided herein and do not count toward the \$100,000 fee cap. Firm Facilitation trades will continue to be executed at the rate of \$0.00 per contract regardless of whether a firm has reached the \$100,000 cap or not, except for QCC volume in excess of the cap as noted above. Mini option contracts are subject to separate pricing and are not eligible for inclusion in the \$100,000 per month fee cap described above. Firm Facilitation trades in Mini option contracts, however, will continue to be executed at the Firm Facilitation rate of \$0.00 per contract.

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