

Additions underlined
Deletions [bracketed]

NYSE MKT RULES

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Section 900NY. Rules Principally Applicable to Trading of Options Contracts

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Rule 980NY. Electronic Complex Order Trading

For purposes of this Rule, an “Electronic Complex Order” means any Complex Order as defined in Rule 900.3NY (e) that is entered into the System.

(a)- (e) No Change

Commentary:

.01 - .02 No Change

.03 [Reserved.] Complex Order Table Cap: Electronic Complex Orders in the Consolidated Book are ranked and tracked in a “complex order table,” the capacity of which is determined by the Exchange. A cap will be set to prevent ATP Holders from utilizing more than “n%” of the capacity of the complex order table during the trading day (“Complex Order Table Cap”). If an ATP Holder utilizes more than “n%-x” of the complex order table, the ATP Holder’s Electronic Complex Orders will be rejected until such time that the ATP Holder has notified the Exchange to re-enable the submission of Electronic Complex Orders. If, however, the Complex Order Table Cap is breached (i.e., the ATP Holder submits orders in excess of “n%” of the complex order table), all Electronic Complex Orders submitted by that ATP Holder will be rejected for the remainder of the trading day. Unless determined otherwise by the Exchange and announced to ATP Holders via Trader Update, the specified percentage (i.e., “n%”) will be no less than 60%, and “n%-x” will be no less than 40%.

.04 No Change

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