

EXHIBIT 5

Additions underscored
Deletions [bracketed]

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Last Updated: [October] November 1, 2012

NYSE AMEX OPTIONS: QUALIFIED CONTINGENT CROSS (“QCC”) FEES

Fee/Rebate	Rate Per Contract
Customer Order Fee	\$0.00
Non-Customer Order Fee	\$0.20
<u>Order Fee for Specialists and eSpecialists that execute fewer than 50,000 contracts ADV each day in a month⁵</u>	<u>\$0.13</u>
<u>Order Fee for Specialists and eSpecialists that execute 50,000 or more contracts ADV each day in a month⁵</u>	<u>\$0.10</u>

⁵ Specialist, eSpecialist, and Market Maker (both Directed and non-Directed) fees will be aggregated and capped at \$350,000 per month plus an incremental service fee of \$.01 per contract for all Specialist, eSpecialist and Market Maker volume executed in excess of 3,500,000 contracts per month, except for the execution of a QCC order against a non-Customer, in which case the incremental service fee is \$.05, or the execution of either a QCC order against a Customer or the execution of an Electronic Complex Order, in which case the incremental service fee is \$.10. Any fees or volume associated with a Strategy Trade (reversals and conversions, dividend spreads, box spreads, short stock interest spreads, merger spreads, and jelly rolls) will not be counted towards either the \$350,000 cap, or the volume threshold of 3,500,000 contracts. Royalty Fees will continue to be charged and do not count toward the \$350,000 fee cap. Specialist, eSpecialist, and Market Maker (both Directed and non-Directed) participants that execute 50,000 or more contracts ADV each day during the month will be eligible for the lower per contract rate described in the fee schedule under the section on “NYSE Amex Options: Trade-Related Charges.” In calculating this threshold of 50,000 or more contracts, the Exchange will exclude both Strategy Trades and QCC trades.

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