

Additions underlined.
Deletions bracketed.

NYSE MKT Equities Rules

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Rule 103B - Equities. Security Allocation and Reallocation

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IX. ALLOCATION OF SECURITIES TO [NYSE AMEX LLC DESIGNATED] DMM PANELS

Securities listed on the Exchange or admitted to dealings on the Exchange pursuant to a grant of unlisted trading privileges in accordance with Section 12(f) of the Securities Exchange Act of 1934, as amended, [shall] may be assigned for trading [only] at panels [exclusively designated for trading securities on the Exchange] that also trade securities listed on the New York Stock Exchange, LLC. [DMM Units may only trade securities listed on the Exchange or admitted to dealings on the Exchange pursuant to a grant of unlisted trading privileges at panels exclusively designated for trading securities on the Exchange.]

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Rule 504 - Equities. Nasdaq Security Assignment

(a) No Change

(b) DMM Unit Eligibility and Assignment

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[(6) Member organizations registered as DMM Units on both NYSE MKT Equities LLC and the New York Stock Exchange LLC must commit staff, including DMMs and clerks, for the trading of NYSE-listed securities separate from that for the trading of Exchange-listed securities and/or Nasdaq Securities. Individual DMMs and support staff will not be permitted to trade NYSE-listed securities together with Exchange-listed securities and/or Nasdaq Securities at the same time.]

(c) No Change

(d) Allocation of Nasdaq Securities to [Designated] DMM Panels

In accordance with Rule 103B(IX) - Equities, Nasdaq Securities [shall] may be allocated for trading, and DMM Units [shall] may trade such securities, [only] at panels that also trade securities listed on the Exchange and/or securities listed on the New York Stock Exchange, LLC [exclusively designated for trading Nasdaq Securities and/or securities listed on the Exchange].