

Additions underscored

Deletions [bracketed]

Rules of NYSE Chicago, Inc.

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Rule 7 Equities Trading

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Section 3. Exchange Trading

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Rule 7.31. Orders and Modifiers

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(i) Additional Order Instructions and Modifiers:

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- (2) Self Trade Prevention Modifier (“STP”). Any incoming order to buy (sell) designated with an STP modifier will be prevented from trading with a resting order to sell (buy) also designated with an STP modifier and from the same Client ID; the same MPID and, if specified, any subidentifier; or an Affiliate identifier (any such identifier, a “Unique Identifier”). For purposes of this rule, the term “Affiliate” means any Participant under 75% common ownership or control of that Participant. The STP modifier on the incoming order controls the interaction between two orders marked with STP modifiers.
- (A) STP Cancel Newest (“STPN”). An incoming order to buy (sell) marked with the STPN modifier will not trade with resting interest to sell (buy) marked with any of the STP modifiers from the same [MPID]Unique Identifier. The incoming order marked with the STPN modifier will be cancelled back to the originating Participant. The resting order marked with one of the STP modifiers will remain on the Exchange Book.
- (B) STP Cancel Oldest (“STPO”). An incoming order to buy (sell) marked with the STPO modifier will not trade with resting interest to sell (buy) marked with any of the STP modifiers from the same [MPID]Unique Identifier. The resting order marked with the STP modifier will be cancelled back to the originating Participant. The incoming order marked with the STPO modifier will remain on the Exchange Book.

- (C) STP Decrement and Cancel (“STPD”). An incoming order to buy (sell) marked with the STPD modifier will not trade with resting interest to sell (buy) marked with any of the STP modifiers from the same [MPID]Unique Identifier. If both orders are equivalent in size, both orders will be cancelled back to the originating Participant. If the orders are not equivalent in size, the equivalent size will be cancelled back to the originating Participant and the larger order will be decremented by the size of the smaller order with the balance remaining on the Exchange Book.
- (D) STP Cancel Both (“STPC”). An incoming order to buy (sell) marked with the STPC modifier will not trade with resting interest to sell (buy) marked with any of the STP modifiers from the same [MPID]Unique Identifier. The entire size of both orders will be cancelled back to originating Participant.

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