

Additions underscored

Deletions [bracketed]

### NYSE Arca Options Fees and Charges

**Effective Date: February [3]14, 2025**

\*\*\*\*\*

#### NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS<sup>14</sup>

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

\*\*\*\*\*

#### CUSTOMER PENNY POSTING CREDIT TIERS

OTP Holders and OTP Firms meeting the qualifications below will receive the corresponding posting credit on all electronic executions of Customer posted interest in Penny Issues.<sup>8, 15, 16</sup>

<b>Tier</b>	<b>Qualification Basis (Average Electronic Executions Per Day)</b>		<b>Credit Applied to Electronic Executions of Customer Posted Interest in Penny Issues</b>
Base			(\$0.25)
Tier 1	At least 0.20% of TCADV from Customer posted interest in all issues plus executed ADV of 0.30% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market		(\$0.36)
Tier 2	At least 0.30% of TCADV from Customer posted interest in all issues, or	Increase of at least 0.15% of TCADV in posted interest in all issues, all account types other than Market Maker, over the OTP Holder’s or OTP Firm’s March 2020 level	(\$0.43)

Tier	Qualification Basis (Average Electronic Executions Per Day)	Credit Applied to Electronic Executions of Customer Posted Interest in Penny Issues
		of posted interest in all issues, all account types other than Market Maker
Tier 3	At least 0.40% of TCADV from Customer posted interest in all issues	(\$0.45)
Tier 4	At least 0.75% of TCADV from Customer posted interest in all issues, or	At least 0.20% of TCADV from Customer posted interest in all issues, not including Professional Customer interest, plus executed ADV of [0.50%]0.40% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market, <u>excluding Sub-Dollar securities</u>
Tier 5	At least 0.75% of TCADV from Customer posted interest in all issues, plus at least 0.60% of TCADV from Market Maker Total Electronic Volume	(\$0.49)
Tier 6	At least 1.30% of TCADV from Customer posted interest in all issues, or	At least 1.00% of TCADV from Customer posted interest in all issues, plus executed ADV of 0.30% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market (\$0.50)

\*\*\*\*\*

<b>Customer Incentive Program<sup>8,15,16</sup></b>		
An ADV from Market Maker Total Electronic Volume of at least 1.00% of TCADV		Additional \$0.03 Credit on Customer Posting Credits
At least 0.20% of TCADV from Customer posted interest in all issues, not including Professional Customer interest, plus executed ADV of [0.50%] <u>0.40%</u> of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market, <u>excluding Sub-Dollar securities</u>		Additional \$0.03 Credit on Customer Posting Credits
<i>OTP Holders and OTP Firms may earn one additional Credit from the alternatives listed above.</i>		

#### CUSTOMER POSTING CREDIT TIERS IN NON-PENNY ISSUES

OTP Holders and OTP Firms meeting the qualifications below will receive the corresponding credit on all electronic executions of Customer posted interest in Non-Penny issues.<sup>8,15, 16</sup>

	<b>Customer Posting Credit Tiers In Non-Penny Issues Qualification Basis (Average Electronic Executions Per Day)</b>		<b>Credit Applied to Electronic Executions of Customer Posted Interest in Non-Penny Issues</b>
Base			(\$0.75)
Tier A	At least 1.00% of TCADV from Customer posted interest in all issues, or	At least 0.15% of TCADV of Firm and Broker Dealer posted interest in all issues and at least 0.10% TCADV from Customer posted interest in all issues.	(\$0.85)
Tier B	At least 1.00% of TCADV from Customer posted interest in all issues, of which at least 0.20% of TCADV is from Customer posted interest in non-Penny Issues		(\$0.95)

	<b>Customer Posting Credit Tiers In Non-Penny Issues Qualification Basis (Average Electronic Executions Per Day)</b>		<b>Credit Applied to Electronic Executions of Customer Posted Interest in Non-Penny Issues</b>
Tier C	At least 0.20% of TCADV from Customer posted interest in all issues, not including Professional Customer interest, plus executed ADV of [0.50%] <u>0.40%</u> of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market, <u>excluding Sub-Dollar securities</u>		(\$0.97)
Tier D	At least 0.75% of TCADV from Customer posted interest in all issues, plus an ADV from Market Maker Total Electronic Volume equal to 0.60% of TCADV		(\$1.00)
Tier E	At least 1.50% of TCADV from Customer posted interest in all issues		(\$1.01)
Tier F	At least 1.00% of TCADV from Customer posted interest in all issues, plus executed ADV of 0.30% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market, or	At least 2.00% of TCADV from Customer posted interest in all issues, AND at least 2.00% of TCADV from Professional Customer and Non-Customer Liquidity Removing interest in all issues	(\$1.02)

\*\*\*\*\*