

Additions underscored  
Deletions [bracketed]

### NYSE Arca Options Fees and Charges

**Effective Date: February [15] 29, 2024**

#### **NYSE Arca GENERAL OPTIONS and TRADING PERMIT (OTP) FEES**

##### OTP TRADING PARTICIPANT RIGHTS

Office or Clearing Firms <sup>1</sup>	\$1,000 per month per OTP
Floor Broker <sup>1</sup>	\$500 per month per OTP

NYSE Arca Market Makers<sup>1</sup>

OTP fees are charged based on the maximum number of OTPs held during the month, according to the table shown below. The “Bottom 45%” refers to the least actively traded issues on the Exchange, ranked by industry volume, as reported by the OCC for each issue during the calendar quarter. Each calendar quarter, with a one-month lag, the Exchange will publish on its website a list of the Bottom 45% of issues traded. Any newly listed issues will automatically become part of the Bottom 45% until the next evaluation period, at which time they may or may not remain part of the Bottom 45% list depending upon their trading volumes and resultant rank among all issues traded on the Exchange.

<b>Number of OTPs</b>	<b>Monthly Fee Per OTP</b>	<b>Number of Issues Permitted in a Market Maker’s Quoting Assignment</b>
1 <sup>st</sup> OTP	\$8,000	60 plus the Bottom 45%
2 <sup>nd</sup> OTP	\$6,000	150 plus the Bottom 45%
3 <sup>rd</sup> OTP	\$5,000	500 plus the Bottom 45%
4 <sup>th</sup> OTP	\$4,000	1,100 plus the Bottom 45%
5 <sup>th</sup> OTP	\$3,000	All issues
6 <sup>th</sup> to 9 <sup>th</sup> OTP	\$2,000	All issues
10 <sup>th</sup> or more OTPs	\$500	All issues
Reserve Market Maker OTP	\$175	N/A
<u>1<sup>st</sup> Floor Market Maker OTP</u>	<u>\$6,000</u>	<u>60 plus the Bottom 45%</u>

2 <sup>nd</sup> Floor Market Maker OTP	\$4,000	150 plus the Bottom 45%
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**NYSE Arca OPTIONS: GENERAL**

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**BILLING DISPUTES**

All fee disputes concerning fees billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation. All fee disputes must be submitted no later than sixty (60) days after receipt of a billing invoice.

1. Fee will be based on the maximum number of OTPs held by an OTP Firm or OTP Holder during a calendar month. A Floor Market Maker is a registered Market Maker who makes transactions as a dealer-specialist while on the Floor of the Exchange. A Floor Market Maker OTP is a Floor Market Maker that purchases no more than two OTPs per month and transacts at least 75% of its volume, excluding QCC and Strategy Executions, as Manual trades in open outcry on the Trading Floor.

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