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RULES OF NYSE ARCA, INC.

Rule 1.1. Definitions

Whenever and wherever used herein, unless the context requires otherwise, the following terms shall be deemed to have the meanings indicated:

Firm

For options traded on the Exchange, the term “Firm” means a broker-dealer that is not registered as a dealer-specialist or market maker on a registered national securities exchange or association.

Floor Market Maker

The term “Floor Market Maker” shall mean a registered Market Maker who makes transactions as a dealer-specialist while on the Floor of the Exchange.

Floor, Trading Floor and Options Trading Floor

The terms “Floor,” “Trading Floor” and “Options Trading Floor” mean the options trading floor.

Market Maker

With respect to options traded on the Exchange, the term “Market Maker” refers to an OTP Holder or OTP Firm that acts as a Market Maker pursuant to Rule 6.32-O.

For purposes of Exchange rules, the term Market Maker includes Lead Market Makers and Floor Market Makers, unless the context otherwise indicates.

Rule 6.32-O. Market Maker Defined

(a) A Market Maker is an individual who is registered with the Exchange for the purpose of making transactions as a dealer-specialist on the Floor of the Exchange or for the purpose of submitting quotes electronically and making transactions as a dealer-specialist through the

NYSE Arca OX electronic trading system. Registered Market Makers are designated as specialists on the Exchange for all purposes under the Securities Exchange Act of 1934 and the Rules and Regulations thereunder. A Market Maker on the Exchange will be [either]a Market Maker, Floor Market Maker, or a Lead Market Maker. Unless specified, or unless the context requires otherwise, the term Market Maker refers to [both]Market Makers, Floor Market Makers, and Lead Market Makers. Except as provided in subsection (c) below, only transactions that are initiated on the Floor of the Exchange or executed through the facilities of the Exchange will count as Market Maker transactions for the purposes of Rule 6.32-O.
