

Additions underscored  
Deletions [bracketed]

### NYSE Arca Options Fees and Charges

Effective Date: December [11]17, 2024

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### NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS<sup>14</sup>

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#### TRANSACTION FEE FOR MANUAL EXECUTIONS - PER CONTRACT

	Manual Executions <sup>5, 12</sup>
Order Type	
LMM	\$0.30
NYSE Arca Market Maker	\$0.35
Firm and Broker Dealer	\$0.25
Professional Customer	\$0.00
Customer	\$0.00
Firm Facilitation and Broker Dealer facilitating a Customer or Professional Customer <sup>7</sup>	\$0.00

#### TRANSACTION FEE FOR ELECTRONIC EXECUTIONS - PER CONTRACT

	Electronic Executions in Penny Issues <sup>6, 12</sup>		Electronic Executions in Non- Penny Issues <sup>6, 12</sup>	
Order Type	Post Liquidity	Take Liquidity	Post Liquidity	Take Liquidity
LMM	(\$0.33)**	\$0.50	(\$0.40)	\$1.20
NYSE Arca Market Maker	(\$0.28)	\$0.50	(\$0.05)	\$1.20
Firm and Broker Dealer	(\$0.10)	\$0.50	\$0.50	\$1.20
Customer	(\$0.25)	\$0.49	(\$0.75)	\$0.85

Professional Customer	(\$0.25)	\$0.50	(\$0.75)	\$1.10
Customer against LMM*	N/A	N/A	N/A	\$0.67

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## ELECTRONIC COMPLEX

## ORDER EXECUTIONS

Complex Orders executed against individual orders in the Consolidated Book will be subject to “Take Liquidity” rate per contract for that issue.

TRANSACTION FEE - PER CONTRACT<sup>12</sup>

Order Type			Customer	Non-Customer*
Complex Order to Complex Order	Customer vs. Non-Customer	Penny Issues	(\$0.39)	\$0.50
		non-Penny Issues	(\$0.75)	\$0.85
	Customer vs. Customer	All Issues	\$0.00	N/A
	Non-Customer vs. Non-Customer	Penny Issues	N/A	\$0.50
		non-Penny Issues	N/A	\$0.85

\* A \$0.12 per contract surcharge will be applied to any electronic Non-Customer Complex Order that executes against a Customer Complex Order (the “Non-Customer Complex Surcharge”).

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## LIMIT OF FEES ON OPTIONS

## STRATEGY EXECUTIONS

\$200 cap on transaction fees for Strategy Executions involving (a) reversals and conversions, (b) box spreads, (c) short stock interest spreads, (d) merger spreads, and (e) jelly rolls, and (f) dividends.<sup>10</sup> The cap applies to each Strategy Execution executed in standard

option contracts on the same trading day. Any qualifying Strategy Execution executed as a QCC order or executions as described in Endnote 12 will not be eligible for this fee cap, except that a reversal and conversion strategy executed as a QCC order will be eligible for this fee cap. All Royalty fees associated with Strategy Executions on Index and Exchange Traded Funds will be passed through to trading participants on the Strategy Executions on a pro-rata basis. These Royalty fees will not be included in the calculation of the \$200 cap. Manual Broker Dealer and Firm Proprietary Strategy trades that do not reach the \$200 cap will be billed at \$0.25 per contract.

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### **FLOOR BROKER FIXED COST PREPAYMENT INCENTIVE PROGRAM (the “FB Prepay Program”)**

The FB Prepay Program affords each Floor Broker organization the opportunity to prepay its annual “Eligible Fixed Costs” (set forth in the table below) for the following calendar year.

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Participants in the FB Prepay Program qualify for rebates through the Manual Billable Rebate Program, payable on a monthly basis.<sup>17</sup> The Manual Billable Rebate Program provides all Floor Brokers that participate in the FB Prepay Program a rebate on manual billable volume of (\$0.08) per billable side, and participating Floor Brokers that achieve more than 500,000 manual billable sides in a month are eligible for an additional rebate of (\$0.02) per billable side, payable back to the first billable side.<sup>12</sup> The calculation of volume on which rebates earned through the Manual Billable Rebate Program would be paid is based on transactions including at least one side for which manual transaction fees are applicable and excludes QCCs and transactions described in Endnote 12. Any volume calculated to achieve the Limit of Fees on Options Strategy Executions (“Strategy Cap”), regardless of whether this cap is achieved, will likewise be excluded from the Manual Billable Rebate Program because fees on such volume are already capped and therefore such volume does not increase billable manual volume.

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### **NYSE Arca OPTIONS: GENERAL**

#### **BILLING DISPUTES**

All fee disputes concerning fees billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation. All fee disputes must be submitted no later than sixty (60) days after receipt of a billing invoice.

1. - 11. No Change

12. [Reserved.] Executions in options on NYSE Arca Equities-listed digital asset ETFs (excluding QCC transactions) will receive either an additional discount or an additional credit of \$0.05 per contract as follows. The additional discount of \$0.05 per contract will be applied on electronic take liquidity, manual, and electronic complex-to-complex executions and the additional credit of \$0.05 per contract will be applied to electronic post liquidity executions. Executions in options on NYSE Arca Equities-listed digital asset ETFs will not be included in the daily fee cap on strategy executions (i.e., the Limit of Fees on Options Strategy Executions) and will not be included in calculations for or rebates available through the Manual Billable Rebate Program.

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