

Additions underscored
Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: September [1] 12, 2023

NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS¹⁴

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

CUSTOMER TAKE FEE DISCOUNT TIERS^{8, 15}

OTP Holders and OTP Firms meeting the qualifications below will receive the corresponding discount on Take Liquidity Fees for Penny and non-Penny issues.

<u>Tier</u>	<u>Take Fee Discount Qualification for Penny and Non-Penny Issues</u>	<u>Discount Amount</u>
<u>Tier 1</u>	<u>At least 0.20% of TCADV from Customer liquidity removing interest in all issues</u>	<u>\$0.01</u>
<u>Tier 2</u>	<u>At least 0.40% of TCADV from Customer liquidity removing interest in all issues, and 1% of TCADV from Customer posted interest in all issues</u>	<u>\$0.02</u>
<u>Tier 3</u>	<u>At least 0.60% of TCADV from Customer liquidity removing interest in all issues, and 1.50% of TCADV from Customer posted interest in all issues</u>	<u>\$0.03</u>
<i><u>Professional Customer orders are not included in the above qualifications or in discount-eligible volume. OTP Holders and OTP Firms may earn only the highest discount for which they qualify.</u></i>		

DISCOUNT IN TAKE LIQUIDITY FEES FOR PROFESSIONAL CUSTOMER AND NON -
CUSTOMER LIQUIDITY REMOVING INTEREST^{8, 15}
