

Additions underlined
 Deletions [bracketed]

NYSE Arca Equities

FEES AND CHARGES

Effective Date: August [1]28, 2023

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS²

Round Lots and Odd Lots (Per Share Price \$1.00 or Above and Per Share Price Below \$1.00)

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[FEES AND CREDITS APPLICABLE TO EXECUTIONS IN THE RETAIL LIQUIDITY PROGRAM]

[Retail Liquidity Provider (“RLP”) or Non-RLP Retail Price Improvement Order (“RPI”) that executes against a Retail Member Organization (“RMO”) Retail Order:]	[Tape B and Tape C Securities: \$0.0003 credit.]
[Other non-displayed liquidity and displayable odd lot interest priced better than the best protected bid (“PBB”) or the	[Tape B and Tape C Securities:

¹ No Change.

² These transaction fees do not apply to: (1) Directed Orders, regardless of account type, that are matched within the Directed Order Process; (2) Directed Orders for the account of a retail public customer that are executed partially or in their entirety via the Directed Order, Display Order, Working Order, and Tracking Order processes (however, any unfilled or residual portion of a retail customer’s order that is routed away and executed by another market center or participant will incur this transaction fee); (3) Cross Orders; and (4) participants in the Nasdaq UTP Plan that transmit orders via telephone. Orders with specific rates (e.g., MPL, Tracking, PL, Retail Add[, RPI, RMO]) may be used to qualify for volume thresholds but are not eligible for tiered rates.

best protected offer (“PBO”) (together, the “PBBO”) (“other price-improving interest”) that executes against an RMO Retail Order:]	Tiered or Basic Rates apply based on a firm’s qualifying levels.]
[RMO Retail Order that executes against an RLP or Non-RLP RPI or against other price-improving interest:]	[Tape B and Tape C Securities: No fee or credit applies.]

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