

Additions underscored  
Deletions [bracketed]

### NYSE Arca Options Fees and Charges

Effective Date: [July 3] August 1, 2023

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#### NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS<sup>14</sup>

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

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#### LIMIT OF FEES ON OPTIONS STRATEGY EXECUTIONS

\$1,000 cap on transaction fees for Strategy Executions involving (a) reversals and conversions, (b) box spreads, (c) short stock interest spreads, (d) merger spreads, [and] (e) jelly rolls, and (f) dividends.<sup>10</sup> The cap applies to each Strategy Execution executed in standard option contracts on the same trading day. Any qualifying Strategy Execution executed as a QCC order will not be eligible for this fee cap, except that a reversal and conversion strategy executed as a QCC order will be eligible for this fee cap. All Royalty fees associated with Strategy Executions on Index and Exchange Traded Funds will be passed through to trading participants on the Strategy Executions on a pro-rata basis. These Royalty fees will not be included in the calculation of the \$1,000 cap. Manual Broker Dealer and Firm Proprietary Strategy trades that do not reach the \$1,000 cap will be billed at \$0.25 per contract.

The cap is reduced to \$200 on transactions fees for qualifying strategies traded on the same trading day for those OTP Holders that trade at least 25,000 monthly billable contract sides in qualifying Strategy Executions.

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#### NYSE Arca OPTIONS: GENERAL

##### BILLING DISPUTES

All fee disputes concerning fees billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation. All fee disputes must be submitted no later than sixty (60) days after receipt of a billing invoice.

1.-9. No Change

10. (a) - (e) No Change

(f) Dividend. A “dividend” is defined as transactions done to achieve a dividend arbitrage involving the purchase, sale and exercise of in-the-money options of the same class, executed the first business day prior to the date on which the underlying stock goes ex-dividend.

11- 17. No Change