

Additions underlined
Deletions [bracketed]

RULES OF THE NYSE ARCA, INC.

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OPTIONS RULES

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RULE 6-O OPTIONS TRADING

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Rules Principally Applicable to Trading of Option Contracts

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Rule 6.40P-O. Pre-Trade and Activity-Based Risk Controls

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(c) *Automated Breach Actions.* The Exchange will automatically take the following actions.

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(2) *Activity-Based Risk Controls*

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(B) To determine when an Activity-Based Risk Control has been breached, the Exchange will maintain a Trade Counter that will be incremented every time an order or quote trades, including any leg of a Complex Order, and will aggregate the number of contracts traded during each such execution. An Entering Firm may opt to exclude any orders designated IOC₂ [or] FOK₂ or GTX from being considered by a Trade Counter.

(C) When designating one of the three Activity-Based Risk Controls, the Entering Firm must indicate which of the following actions it wishes the Exchange to take if an Activity-Based Risk Limit is breached:

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(iii) Cancel and Block: In addition to the restrictions defined above for “Block Only,” the Exchange will cancel all unexecuted orders and quotes in the Consolidated

Book other than Auction-Only Orders, and orders designated GTC. An Entering Firm may opt to exclude orders designated as GTX from being cancelled.

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