

Additions underscored
Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: [May 1] June 1, 2023

NYSE Arca **OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS**¹⁴

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

A “Penny” issue or class refers to option classes that participate in the Penny Interval Program, as described in Rule 6.72A-O; whereas a “non-Penny” issue or class refers to option classes that do not participate in the Penny Interval Program, as described in Rule 6.72A-O.

QUALIFIED CONTINGENT CROSS (“QCC”) TRANSACTION FEES AND CREDITS	
Participant	Per Contract Fee or Credit
All Non-Customers in All Issues	\$0.20
All Customers in All Issues	\$0.00
Submitting Broker credit for Non-Customer vs. Non-Customer QCC Transaction ^{13, 17}	(\$0.22)
Submitting Broker credit for Customer vs. Non-Customer QCC Transaction ^{13, 17}	(\$0.16)

Additional Submitting Broker QCC Credits per contract	Customer vs. Non-Customer QCC Transaction	Non-Customer vs. Non-Customer QCC Transaction
Achieve 1.5 million QCC contracts per month	(\$0.01)	(\$0.03)
Achieve 3 million QCC contracts per month	(\$0.02)	[(\$0.06)] (<u>\$0.08</u>)

The Additional Submitting Broker QCC Credits are payable back to the first contract but are not cumulative across tiers.
