

Additions underscored  
 Deletions [bracketed]

## **Rules of the NYSE Arca, Inc.**

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### **Options Rules**

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#### **Rule 6-O OPTIONS TRADING**

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#### **Rules Principally Applicable to Trading of Option Contracts**

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#### **Rule 6.62P-O. Orders and Modifiers**

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##### **(i) Additional Order Instructions and Modifiers.**

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(2) Self Trade Prevention Modifier (“STP”). An Aggressing Order or Aggressing Quote to buy (sell) designated with one of the STP modifiers in this paragraph will be prevented from trading with a resting order or quote to sell (buy) also designated with an STP modifier and from the same Client ID; the same MPID, and, if specified, any subidentifier of that MPID; or an Affiliate (as defined in Rule 1.1) identifier (any such identifier, a “Unique Identifier”). The STP modifier on the Aggressing Order or Aggressing Quote controls the interaction between two orders and/or quotes marked with STP modifiers. Self-Trade Prevention will not be applicable during an Auction or to Cross Orders or when a Complex Order legs out. If the condition for a Limit Order designated FOK, an AON Order, or an arriving order with an MTS modifier designated under paragraph (i)(3)(B)(i) of this Rule cannot be met because of STP modifiers, such order will be cancelled or placed on the Consolidated Book, as applicable. Aggressing Orders or Aggressing Quotes will be processed as follows.

(A) STP Cancel Newest (“STPN”). An Aggressing Order or Aggressing Quote to buy (sell) marked with the STPN modifier will not trade with resting interest to sell (buy) marked with any STP modifier from the same [MPID]Unique Identifier. The Aggressing Order or Aggressing Quote marked with the STPN modifier will be cancelled. The resting order or quote marked with one of the STP modifiers will

remain on the Consolidated Book.

- (B) STP Cancel Oldest (“STPO”). An Aggressing Order or Aggressing Quote to buy (sell) marked with the STPO modifier will not trade with resting interest to sell (buy) marked with any STP modifier from the same [MPID]Unique Identifier. The resting order or quote marked with the STP modifier will be cancelled. The Aggressing Order or Aggressing Quote marked with the STPO modifier will be placed on the Consolidated Book.
- (C) STP Cancel Both (“STPC”). An Aggressing Order or Aggressing Quote to buy (sell) marked with the STPC modifier will not trade with resting interest to sell (buy) marked with any STP modifier from the same [MPID]Unique Identifier. The entire size of both orders and/or quotes will be cancelled.

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