

Additions underscored
Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: [September 28] October 3, 2022

NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS¹⁴

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

DISCOUNT IN TAKE LIQUIDITY FEES FOR PROFESSIONAL CUSTOMER AND NON - CUSTOMER LIQUIDITY REMOVING INTEREST^{8,15}

Take Fee Discount Qualification for Penny Issues	Discount Amount
At least 1.00% TCADV from Customer posted interest in all issues OR at least 2.00% of TCADV from Professional Customer and Non-Customer Liquidity Removing interest in all issues	\$0.02
At least 2.00% of TCADV from Customer posted interest in all issues AND at least 2.00% of TCADV from Professional Customer and Non-Customer Liquidity Removing interest in all issues	\$0.03
At least 0.80% of TCADV from Customer posted interest in all issues, plus executed ADV of 0.30% ADV of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market	[\$0.04 or \$0.03] \$0.03 or \$0.02*
* This \$[0.04] <u>0.03</u> Take Fee Discount applies only when the executing buyer and seller are the same OTP Holder or OTP Firm or an Affiliate or Appointed OFP or Appointed MM of that OTP Holder or OTP Firm, otherwise, the Take Fee Discount is \$[0.03] <u>0.02</u> .	
<i>OTP Holders and OTP Firms may earn one discount from the alternatives listed above</i>	
