

Additions underlined
Deletions [bracketed]

NYSE Arca Equities

FEES AND CHARGES

Effective Date: [August 24, 2022] September 1, 2022

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS²

Round Lots and Odd Lots (Per Share Price \$1.00 or Above and Per Share Price Below \$1.00)

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VI. Tier Rates - Round Lots and Odd Lots (Per Share Price \$1.00 or Above):

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Retail Tiers					
Tier	Minimum Requirement of CADV			Rates for Retail Orders	
	<u>[Retail Adding ADV] Retail Orders with a time-in-force of Day that add and remove that is an increase over April 2018</u>	Retail Orders with a time-in-force of Day that add and remove [that is an increase over April 2018]	Adding ADV	Credit for Retail Adding	Fee for Retail Removing with a Time-in-force of Day
Retail [Order Step-Up] Tier 1 ^{(d)(e)}		[0.40%] <u>0.50%</u> or 55 Million ADV [of Retail Orders with a time-in-force of Day that add and remove]	1.00%	(\$0.0038)	No Fee
Retail [Order Step-Up] Tier 2 ^(e)		0.20%		(\$0.0036)	No Fee
Retail [Order Tier] Tier 3	[0.15%]	<u>0.10%</u>		[(\$0.0033)] <u>(\$0.0034)</u>	
Retail [Order] Step-Up Tier [2] ^(e)	<u>0.075%</u>	[0.10%]		(\$0.0035)	No Fee

(d) ETP Holders that qualify for Retail [Order Step-Up] Tier 1 are subject to the following rates in Tape C:

- (\$0.0035) for Adding displayed liquidity.
- \$0.0027 for Removing.
- Additional (\$0.0002) for Adding non-displayed liquidity.

(e) ETP Holders that qualify for Retail [Order Step-Up] Tier 1, Retail [Order Step-Up] Tier 2 and Retail [Order] Step-Up Tier [3] are subject to the following rates:

- No fee charged or credit paid for Retail Orders where each side of the executed order (1) shares the same MPID and (2) is a Retail Order with a time-in-force of Day.

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