

Additions underscored

Deletions [bracketed]

**NYSE Arca Options Fees and Charges**

**Effective Date:** [April 1] May 2, 2022

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**NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS<sup>14</sup>**

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

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**CUSTOMER PENNY POSTING CREDIT TIERS**

OTP Holders and OTP Firms meeting the qualifications below will receive the corresponding posting credit on all electronic executions of Customer posted interest in Penny Issues.<sup>8, 15, 16</sup>

<b>Tier</b>	<b>Qualification Basis (Average Electronic Executions Per Day)</b>		<b>Credit Applied to Electronic Executions of Customer Posted Interest in Penny Issues</b>
Base			(\$0.25)
Tier 1	At least [0.10%] <u>0.20%</u> of TCADV from Customer posted interest in all issues <u>plus executed ADV of 0.30% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market</u>		[( <del>\$0.27</del> )] ( <u>\$0.36</u> )

Tier	Qualification Basis (Average Electronic Executions Per Day)		Credit Applied to Electronic Executions of Customer Posted Interest in Penny Issues
Tier 2	At least 0.30% of TCADV from Customer posted interest in all issues, or	Increase of at least 0.15% of TCADV in posted interest in all issues, all account types other than Market Maker, over the OTP Holder's or OTP Firm's March 2020 level of posted interest in all issues, all account types other than Market Maker	(\$0.43)
Tier 3	At least 0.40% of TCADV from Customer posted interest in all issues		(\$0.45)
Tier 4	At least 0.75% of TCADV from Customer posted interest in all issues, or	At least 0.30% of TCADV from Customer posted interest in all issues, not including Professional Customer interest, plus executed ADV of 0.60% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market	(\$0.47)

<b>Tier</b>	<b>Qualification Basis (Average Electronic Executions Per Day)</b>		<b>Credit Applied to Electronic Executions of Customer Posted Interest in Penny Issues</b>
Tier 5	At least 0.75% of TCADV from Customer posted interest in all issues, plus at least 0.60% of TCADV from Market Maker Total Electronic Volume		(\$0.49)
Tier 6	At least 1.30% of TCADV from Customer posted interest in all issues, or	At least 1.00% of TCADV from Customer posted interest in all issues, plus executed ADV of 0.30% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market	(\$0.50)

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