

Additions underscored  
Deletions [bracketed]

### NYSE Arca Options Fees and Charges

**Effective Date:** [July 1]August 4, 2021

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#### NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS<sup>14</sup>

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

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[FB PROFESSIONAL CUSTOMER MANUAL PROGRAM]	[A Floor Broker that increases their monthly Professional Customer Manual Transaction ADV by 60% over the greater of (1) their monthly Professional Customer Manual Transaction ADV in the second half of 2020 or (2) 20,000 contracts ADV will receive a \$0.13 per contract credit on all volume in excess of the applicable baseline. Eligible volume for determining baseline, achieving volume over baseline, or application of credit does not include Strategy Executions, QCC Transactions, or Facilitation Transactions. This program expires at the close of business on June 30, 2021.]
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#### NYSE Arca OPTIONS: FLOOR and EQUIPMENT and CO-LOCATION FEES

[Commencing April 1, 2021 through the earlier of the first full month of a full reopening of the Trading Floor facilities to Floor personnel or June 2021, the Exchange will waive certain fees for Floor Broker firms that are unable to operate at more than 50% of their March 2020 on-Floor staffing levels (or, for firms that began Floor operations after March 2020, are unable to operate at more than 50% of their Exchange-approved on-Floor staffing levels) and for Market Maker firms that have vacant or “unmanned” Podia for the entire month due to COVID-19 related considerations (the “Qualifying Firms”). The fees waived for Qualifying Firms include those associated with Floor Booths, Market Maker Podia, Options Floor Access, Wire Services and ISP Connection, as indicated below.]

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