

Additions underscored  
 Deletions [bracketed]

**NYSE Arca Options Fees and Charges**

**Effective Date:** [~~September 24~~]October 8, 2020

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**NYSE Arca OPTIONS: FLOOR and EQUIPMENT and CO-LOCATION FEES**

[For June, July, August, and September only]Commencing October 1<sup>st</sup> through the earlier of the first full month of a full reopening of the Trading Floor facilities to Floor personnel or December 2020, the Exchange will waive certain fees for Floor Broker firms that are unable to operate at more than 50% of their March 2020 on-Floor staffing levels (or, for firms that began Floor operations after March 2020, are unable to operate at more than 50% of their Exchange-approved on-Floor staffing levels) and for Market Maker firms that have vacant or “unmanned” Podia for the entire month due to COVID-19 related considerations (the “Qualifying Firms”). The fees waived for Qualifying Firms include those associated with Floor Booths, Market Maker Podia, Options Floor Access, Wire Services and ISP Connection, as indicated below.

**FLOOR BOOTHS**

[Waived for Qualifying Firms for June through September 2020]  
 \$80 per month, per linear foot

**MARKET MAKER PODIUM FEES\***

[Waived for Qualifying Firms for June through September 2020]  
 First Podium: \$200 per month  
 Second Podium: \$400 per month  
 Third Podium: \$800 per month  
 Fourth Podium: \$1600 per month

Each podium comes equipped with four (4) standard monitors

Surcharge(s): \$100 per month for two (2) additional monitors per standalone podium

Additional, one-time surcharges, for monitor upgrades:

\$200 per monitor for large monitor  
 \$300 per extra-large monitor

\* Limits: Podia are only available to Market Makers with an active OTP (i.e., Market Makers that have only a Reserve OTP are ineligible for podia). Each Market Maker on the Trading Floor may have no more than four (4) total podia and, each Market Maker in a given Trading Crowd, may have not more than two (2) podia, or eight (8) monitors.

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**BOOTH/PODIUM MODIFICATION FEE** OTP Holders and OTP Firms are responsible for all costs associated with any modifications or alterations to any floor booth or podia leased and must reimburse NYSE Arca Options for all costs incurred by NYSE Arca Options in connection therewith, including costs of prior approval and costs associated with restoring to standard configuration upon vacating the booth or podium. NYSE Arca Options staff time associated with a booth or podium modification will be charged at a rate of \$200 per hour, per staff member.

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**TELEPHONES** \$14 per month per line

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**OPTIONS FLOOR ACCESS FEE** [Waived for Qualifying Firms for June through September 2020]  
\$125 per month for all registered floor personnel that do not pay an OTP Fee

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**WIRE SERVICES** [Waived for Qualifying Firms for June through September 2020]  
Pass-through fees (including Floor Broker market data fees)

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**CONNECTIVITY FEE** \$300 per line per month per order sending firm

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TELECOM MOVE/ADD/CHANGE FEE \$100 per hour on pro-rated basis

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ISP CONNECTION FEE [Waived for Qualifying Firms for June through September 2020]  
\$150 per month per connection, for either data or VOIP, capped at \$750 per month

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**FLOOR BROKER FIXED COST PREPAYMENT INCENTIVE PROGRAM (the “FB Prepay Program”)**

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To participate in the FB Prepay Program, Floor Broker organizations must notify the Exchange in writing by emailing [optionsbilling@nyse.com](mailto:optionsbilling@nyse.com), indicating a commitment to submit prepayment for the following calendar year, by no later than the last business day of December in the current year. The email to enroll in the Program must originate from an officer of the Floor Broker organization and, except as provided for below, represents a binding commitment through the end of the following calendar year. Payment must be received in full by the close of business on the last business day in January. A Floor Broker organization that commits to the Program will be invoiced in January for Eligible Fixed Costs, based on annualizing their Eligible Fixed Costs incurred in the previous November. The Exchange will not issue any refunds in the event that a Floor Broker organization’s prepaid Eligible Fixed Costs exceeds such actual costs, except that the Exchange will refund certain of the prepaid Eligible Fixed costs that were waived [for June through September 2020 ]for Qualifying Firms as defined, and set forth in, NYSE Arca OPTIONS: FLOOR and EQUIPMENT and CO-LOCATION FEES. Participating Floor Broker organizations that qualify for the Percentage Growth Incentive will receive their rebate in the following January.

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