EXHIBIT 5

Additions <u>underscored</u>
Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: [August 26]<u>September 1</u>, 2020

*****

<u>NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS</u>[14]

Unless Professional Customer executions are specifically delineated, such executions will be treated as "Customer" executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as "Non-Customers."

A "Penny" issue or class refers to option classes that participate in the Penny Interval Program, as described in Rule 6.72A-O; whereas a "non-Penny" issue or class refers to option classes that do not participate in the Penny Interval Program, as described in Rule 6.72A-O.

*****

CUSTOMER PENNY POSTING CREDIT TIERS

OTP Holders and OTP Firms meeting the qualifications below will receive the corresponding posting credit on all electronic executions of Customer posted interest in Penny Issues.[8, 15, 16]

Tier	Qualification Basis (Average Electronic Executions Per Day)		Credit Applied to Electronic Executions of Customer Posted Interest in Penny Issues
Base			($0.25)
Tier 1	At least 0.10% of TCADV from Customer posted interest in all issues		($0.27)
Tier 2	At least 0.25% of TCADV from Customer posted interest in all issues, or	Increase of at least 0.15% of TCADV in posted interest in all issues, all account types	($0.43)

Tier	Qualification Basis (Average Electronic Executions Per Day)		Credit Applied to Electronic Executions of Customer Posted Interest in Penny Issues
	other than Market Maker, over the OTP Holder's or OTP Firm's March 2020 level of posted interest in all issues, all account types other than Market Maker		
Tier 3	At least 0.40% of TCADV from Customer posted interest in all issues		($0.45)
Tier 4	At least 0.75% of TCADV from Customer posted interest in all issues, or	At least 0.85% of TCADV from posted interest in Penny Issues, all account types	($0.47)
Tier 5	At least 0.22% of TCADV from Customer posted interest in all Issues, plus Executed ADV of 0.90% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market, or	At least 0.75% of TCADV from Customer posted interest in all issues, plus at least 0.45% of TCADV from Market Maker Total Electronic Volume	($0.48)
Tier 6	At least 0.75% of TCADV from Customer posted interest in all issues, plus at least 0.60% of TCADV from Market Maker Total Electronic Volume		($0.49)
Tier 7	At least 1.00% of TCADV from Customer posted interest in all issues, or	At least 0.80% of TCADV from Customer posted interest in all issues, plus executed ADV of 0.30% ADV of U.S. Equity Market Share Posted and	($0.50)

Tier	Qualification Basis (Average Electronic Executions Per Day)		Credit Applied to Electronic Executions of Customer Posted Interest in Penny Issues
		Executed on NYSE Arca Equity Market	

*****

Customer Incentive Program[8,15,16]	
At least 0.80% of TCADV from Customer posted interest in all Issues, of which at least 0.20% of TCADV is from Customer posted interest in non-Penny Issues	Additional $0.03 Credit on Customer Posting Credits
At least 0.50% of TCADV from Customer posted interest in all issues, plus, an ADV from Market Maker posted interest in Penny Issues equal to at least 0.30% of TCADV	Additional $0.01 Credit on Customer Posting Credits
An ADV from Market Maker Total Electronic Volume of at least 0.60% of TCADV, plus at least 0.10% of TCADV from Customer posted interest in non-Penny Issues	Additional $0.03 Credit on Customer Posting Credits
Executed ADV of 0.90% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market	Additional $0.03 Credit on Customer Posting Credits
At least 1.50% of TCADV from Customer posted interest in both Penny and non-Penny Issues, plus Executed ADV of 0.10% of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market	Additional $0.03 Credit on Customer Posting Credits
OTP Holders and OTP Firms may earn one additional Credit from the alternatives listed above.	

CUSTOMER POSTING CREDIT TIERS IN NON-PENNY ISSUES

OTP Holders and OTP Firms meeting the qualifications below will receive the corresponding credit on all electronic executions of Customer posted interest in Non-Penny issues.[8,15,16]

	Customer Posting Credit Tiers In Non-Penny Issues **Qualification Basis (Average Electronic Executions Per Day)**		**Credit Applied to Electronic Executions of Customer Posted Interest in Non-Penny Issues**
Base			($0.75)
Tier A	At least 0.80% of TCADV from Customer posted interest in all issues, or	At least 0.15% of TCADV of Firm and Broker Dealer posted interest in all issues and at least 0.10% TCADV from Customer posted interest in all issues.	($0.85)
Tier B	At least 0.75% of TCADV from Customer posted interest in all issues, plus an ADV from Market Maker Total Electronic Volume equal to 0.45% of TCADV		($0.94)
Tier C	At least 0.80% of TCADV from Customer posted interest in all issues, of which at least 0.10% of TCADV is from Customer posted interest in non-Penny Issues		($0.95)
Tier D	At least 0.75% of TCADV from Customer posted interest in all issues, plus, an ADV from Market Maker Total Electronic Volume equal to 0.60% of TCADV		($1.00)
Tier E	At least 1.50% of TCADV from Customer posted interest in all issues		($1.01)
Tier [E]F	At least 0.80% of TCADV from Customer posted interest in all issues, plus executed ADV of 0.30% ADV of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market		($1.02)

*****

NYSE Arca OPTIONS: GENERAL

BILLING DISPUTES

All fee disputes concerning fees billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation. All fee disputes must be submitted no later than sixty (60) days after receipt of a billing invoice.

1. - 15. No Change

16.   The maximum per contract credit applied to Professional Customer executions is capped at ($0.49) for Penny issues and ($1.00) for non-Penny Issues. This cap applies even if an OTP Holder or OTP Firm otherwise meets the criteria for higher credits per the Customer Posting Credit Tiers in Penny Issues, the Customer Posting Credit Tier in non-Penny Issues, or the Customer Incentive Program.