

Additions underscored

Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: [~~April 9~~]May 1, 2019

NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS¹⁴

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

TRANSACTION FEE FOR MANUAL EXECUTIONS - PER CONTRACT

	Manual Executions ⁵
Order Type	
LMM	\$0.18
NYSE Arca Market Maker	\$0.25
Firm and Broker Dealer	\$0.25
Professional Customer	\$0.25
Customer	\$0.00
Firm Facilitation and Broker Dealer facilitating a Customer or Professional Customer ⁷	\$0.00
[Firm and Broker Dealer Orders in Select Symbols*]	[\$0.12]

[*Manual Executions in Select Symbols are all Firm and Broker Dealer Orders in VXX that are not facilitating a Customer or Professional Customer.]

MARKET MAKER PENNY PILOT AND SPY POSTING CREDIT TIERS

OTP Holders and OTP Firms meeting the qualifications below will receive the corresponding credit on electronic executions of Market Maker posted interest in Penny Pilot Issues and SPY.^{8,15}

Tier	Qualification Basis (Share of TCADV)			Credit Applied to Electronic Executions of Market Maker Posted Interest in Penny Pilot Issues (except SPY)	Credit Applied to Electronic Executions of Market Maker Posted Interest in SPY
Base				(\$0.28)	(\$0.28)
Select Tier	At least 0.25% of TCADV from Market Maker posted interest in all issues			(\$0.32)	(\$0.32)
Super Tier	At least 0.55% of TCADV from Market Maker posted interest in all issues, or		At least 1.60% of TCADV from all interest in all issues, all account types, with at least 0.80% TCADV from posted interest in all issues	(\$0.37)	(\$0.39)
Super Tier II	At least 0.20% of TCADV from Market Maker posted interest in all issues, plus ETP	<u>At least 0.10% of TCADV from Market Maker posted interest in all issues, plus at</u>	At least 1.60% of TCADV from Market Maker interest in all issues, with at least 0.90% of TCADV from Market Maker posted interest	(\$0.42)	(\$0.42)

Tier	Qualification Basis (Share of TCADV)			Credit Applied to Electronic Executions of Market Maker Posted Interest in Penny Pilot Issues (except SPY)	Credit Applied to Electronic Executions of Market Maker Posted Interest in SPY
	Holder and Market Maker posted volume in Tape B Securities ("Tape B Adding ADV") that is equal to at least 1.50% of US Tape B consolidated average daily volume ("CADV") for the billing month executed on NYSE Arca Equity Market; or	<u>least 0.42% of executed ADV of Retail Orders of U.S. Equity Market Share Posted and Executed on NYSE Arca Equity Market; or</u>	in all issues		
