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RULES OF THE NYSE ARCA, INC.

Rule 6.15-O. Give Up of a Clearing Member

(a) *General.* For each transaction in which an OTP Holder or OTP Firm participates, the OTP Holder or OTP Firm must give up the name of the Clearing Member through which the transaction will be cleared ("give up"). The Clearing Member that is given up must be a Designated Give Up or a Guarantor of the OTP Holder or OTP Firm as set forth in paragraph (b) below. If a Designated Give Up determines to reject a trade in accordance with this Rule, the Guarantor for the executing OTP Holder or OTP Firm will become the give up on the trade, unless another Clearing Member agrees to accept the trade, in accordance with paragraph (f) below.

(b) *Designated Give Ups.*

- (1) *Definition of Designated Give Up.* For purposes of this Rule, a "Designated Give Up" of an OTP Holder or OTP Firm refers to a Clearing Member identified to the Exchange by that OTP Holder or OTP Firm as a Clearing Member the OTP Holder or OTP Firm requests the ability to give up and that has been processed by the Exchange as a Designated Give Up.
- (2) *Definition of Guarantor.* For purposes of this Rule, a "Guarantor" of an executing OTP Holder or OTP Firm refers to a Clearing Member that has issued a Letter of Guarantee or Letter of Authorization for the executing OTP Holder or OTP Firm under the Rules of the Exchange that is in effect at the time of the execution of the applicable trade.
- (3) *Identification of Designated Give Up.* Every OTP Holder or OTP Firm (other than a Market Maker) must identify, in a form and manner prescribed by the Exchange and in advance of giving up any Clearing Member that is not a Guarantor for the OTP Holder or OTP Firm, any Designated Give Ups. An OTP Holder or OTP Firm will only give up a Clearing Member that has previously been identified and processed by the Exchange as a Designated Give Up for that OTP Holder or OTP Firm; a Guarantor for that OTP Holder or OTP Firm; or another Clearing Member that agrees to accept a trade in accordance with paragraph (f) below.
- (4) *Non Market Makers.* Any OTP Holder or OTP Firm (other than a Market Maker) may designate, pursuant to subparagraph (b)(3) above, any Clearing Member other than its Guarantor, as a Designated Give Up. The Exchange will [not] accept [any]

instructions[, and will not give effect to any previous instructions,] from a Clearing Member not to permit an OTP Holder or OTP Firm to designate the Clearing Member as a Designated Give Up.

- (5) *Market Makers*. For each transaction in which a Market Maker participates, a Guarantor of the Market Maker shall be the Clearing Member through which the transaction will be cleared.
- (6) *Guarantors*. A Guarantor for an OTP Holder or OTP Firm will be enabled to be given up for that OTP Holder or OTP Firm without any further action by the OTP Holder or OTP Firm.
- (7) *Removal of Designated Give Up*. If an OTP Holder or OTP Firm (other than a Market Maker) no longer wants the ability to give up a particular Designated Give Up, the OTP Holder or OTP Firm must notify the Exchange, in a form and manner prescribed by the Exchange. If a Clearing Member no longer wants to be a Designated Give Up of a particular OTP Holder or OTP Firm, the Clearing Member must notify the Exchange, in a form and manner prescribed by the Exchange.

Rule 6.46-O. Responsibilities of Floor Brokers

(a) - (f) No change.

(g) Floor Brokers who are required to establish and maintain error accounts [pursuant to Rule 4.21-O]may only use such error accounts for the purpose of correcting bona fide errors, as provided in Rule 6.14-O. However, it will not be a violation of this provision if a trade is transferred away from an error account through the CMTA process at OCC.
