

Additions underscored

Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: [~~August 24~~]October 1, 2016

NYSE Arca GENERAL OPTIONS and TRADING PERMIT (OTP) FEES

Lead Market Maker Rights ²	Average National Daily Customer Contracts	Monthly Issue Fee
	0 to 100	\$25 ²
	101 to 1,000	\$35 ²
	1,001 to 2,000	\$75
	2,001 to 5,000	\$200
	5,001 to 15,000	\$750*
	15,001 to 100,000	\$1,500*
	Over 100,000	\$3,000*

NYSE Arca OPTIONS: GENERAL

BILLING DISPUTES

All fee disputes concerning fees billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation. All fee disputes must be submitted no later than sixty (60) days after receipt of a billing invoice.

1. No Change

2. This fee is in addition to the Market Maker Fee and will be billed on a per issue basis to the OTP Firm acting as LMM in the issue. This fee will not apply to Interim LMMs acting in accordance with Rule 6.82(b)(4). This fee will not apply to issues that have been designated as “closing only” and are subject to delisting by the Exchange. An LMM with daily contract

volume traded electronically of at least 50,000 contracts, of which 10,000 such contracts are in its LMM appointment, will be charged 50% of the monthly Lead Market Maker Rights Fee. Whether an LMM will be charged 50% of the Lead Market Maker Rights Fee will be determined based on an average of the daily contract volume traded electronically by an LMM each trading day in a calendar month.

Fees for issues with Average National Daily Customer Contracts of 0 – 100 will be capped at 50 issues per OTP Firm and any additional issues in this volume range will not be charged.

Fees for issues with Average National Daily Customer Contracts of 101 – 1000 will be capped at 100 issues per OTP Firm and any additional issues in this volume range will not be charged.

3. – 15. No Change
