

NYSE Arca Options - Designated Give-up Notification Form

1. OTP Firm Information

OTP Firm Name		CRD #	
Business Contact Name			
Business Contact Phone and E-mail Address			

2. Give-up Information

The above referenced OTP Firm requests NYSE Arca Options to enable (or disable) give-ups for the following Clearing Members <i>(NOTE: Please check the appropriate column/box to indicate whether the give up is to be enabled or disabled).</i>				Enable Give Up	Disable Give Up
Clearing Member Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Clearing Member Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Clearing Member Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Clearing Member Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Clearing Member Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Clearing Member Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Clearing Member Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>

Multiple copies of this page may be attached if additional lines are required.

OTP Holder represents that it has appropriate policies and procedures applicable to the clearing and settlement of transactions executed pursuant to this agreement and NYSE Arca Rule 6.15.

OTP Holder represents that it has a valid Clearing Letter of Consent or Letter of Authorization on file with NYSE Arca.

Print Name and Title of Authorized Signatory		
Signature		Date

Please return to Client Relationship Services via email CRS@nyse.com.