## **EXHIBIT 5**

Additions <u>underscored</u> Deletions [bracketed]

## **NYSE Arca Equities, Inc.**

## SCHEDULE OF FEES AND CHARGES FOR EXCHANGE SERVICES

[January 2] February 1, 2014

## NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS<sup>1</sup>

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[Tape B Adding Tier: For ETP Holders and Market Makers that provide liquidity of 0.675% or more of the US Tape B CADV<sup>3</sup> for the billing month:] [\$0.0002 per share (credit) for orders that provide liquidity to the Book in Tape B Securities, which shall be in addition to the ETP Holder's or Market Maker's Tiered or Basic Rate credit(s).

Investor Tier 1, Investor Tier 2, Investor Tier 3, Retail Order Tier, Retail Order Cross-Asset Tier, Routable Order Cross-Asset Tier, and Tape B Step Up Tier ETP Holders and Market Makers cannot qualify for the Tape B Adding Tier.

If an ETP Holder or Market Maker qualifies for more than one Tier in this Fee Schedule, the Exchange shall apply the most favorable rate available under such Tiers.

LMMs cannot qualify for the Tape B Adding Tier.

LMM provide volume cannot apply to the Tape B Adding Tier volume requirements.

For all other fees and credits, Tiered or Basic Rates apply based on a firm's qualifying levels.]

Tape B Step Up Tier: For ETP Holders and Market Makers on a daily basis, measured monthly, that directly execute providing volume in Tape B Securities during the billing month ("Tape B Adding ADV")<sup>4</sup> that is equal to at least 0.275% of the US Tape B CADV<sup>3</sup> for the billing \$0.0004 per share (credit) for orders that provide liquidity to the Book in Tape B Securities, which shall be in addition to the ETP Holder's or Market Maker's Tiered or Basic Rate credit(s).

Investor Tier 1, Investor Tier 2, Investor Tier 3, Retail Order Tier, Retail Order Cross-Asset Tier, and Routable Order Cross-Asset Tier ETP Holders and Market Makers cannot qualify for the Tape B Step Up Tier.

If an ETP Holder or Market Maker qualifies for more than one Tier in this Fee Schedule, the Exchange shall apply the most favorable rate available under such Tiers.

<sup>1</sup> \_4

month over the ETP
Holder's or Market
Maker's May 2013
Tape B Adding ADV
taken as a percentage
of Tape B CADV ("Tape
B Baseline % CADV")
[plus 0.275% of US
Tape B CADV<sup>3</sup> for the
billing month]:

LMMs cannot qualify for the Tape B Step Up Tier.

LMM provide volume cannot apply to the Tape B Step Up Tier volume requirements.

For all other fees and credits, Tiered or Basic Rates apply based on a firm's qualifying levels.

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